

# Transformers Can Overcome the Curse of Dimensionality: A Theoretical Study from an Approximation Perspective

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## Abstract

The Transformer model is widely used in various application areas of machine learning, such as natural language processing. This paper investigates the approximation of the Hölder continuous function class  $\mathcal{H}_Q^\beta([0, 1]^{d \times n}, \mathbb{R}^{d \times n})$  by Transformers and constructs several Transformers that can overcome the curse of dimensionality. These Transformers consist of one self-attention layer with one head and the softmax function as the activation function, along with several feedforward layers. For example, to achieve an approximation accuracy of  $\epsilon$ , if the activation functions of the feedforward layers in the Transformer are ReLU and floor, only  $\mathcal{O}(\log \frac{1}{\epsilon})$  layers of feedforward layers are needed, with widths of these layers not exceeding  $\mathcal{O}(\frac{1}{\epsilon^{2/\beta}} \log \frac{1}{\epsilon})$ . If other activation functions are allowed in the feedforward layers, the width of the feedforward layers can be further reduced to a constant. These results demonstrate that Transformers have a strong expressive capability. The construction in this paper is based on the Kolmogorov-Arnold Superposition Theorem and does not require the concept of contextual mapping, hence our proof is more intuitively clear compared to previous Transformer approximation works. Additionally, the translation technique proposed in this paper helps to apply the previous approximation results of feedforward neural networks to Transformer research.

**Keywords:** Transformer, curse of dimensionality, approximation, self-attention, feedforward neural network

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## 1. Introduction

The Transformer model with the self-attention mechanism, proposed by Vaswani et al. (2017), has been widely applied in deep learning since its inception. Its extensive applications span across multiple fields, including natural language processing (Radford et al., 2018, 2019; Devlin et al., 2019; Yang et al., 2019; Liu et al., 2019; Brown et al., 2020; Fedus et al., 2022), image processing (Dosovitskiy et al., 2021; Ying et al., 2021), generative tasks (Peebles and Xie, 2023), reinforcement learning (Parisotto et al., 2020; Chen et al., 2021; Janner et al., 2021), video understanding (Akbari et al., 2021; Bertasius et al.), and more. The main advantage of Transformers lies in their efficient scalability, which is achieved through parallel token processing, parameter sharing, and token interaction based on simple dot products. Despite its successes in various domains, a comprehensive theoretical understanding of the Transformer model is still evolving.

One of the key reasons for the success of neural network models, including Transformer models, is their ability to broadly represent various functions. The universal approximation theorem is a classic result in neural network theory that dates back several decades (Cybenko, 1989; Hornik, 1991; Funahashi, 1989). These results indicate that as long as the width is large enough, a two-layer feedforward neural network (FNN) can approximate any continuous function with compact support to arbitrary precision. In recent years, there has been significant progress in the theoretical study of approximation for FNNs. From shallow to deep networks, from ReLU to sigmoid activations, various studies have explored the approximation capabilities of different FNNs. The readers are referred to Yarotsky (2017); Lu et al. (2017); Yarotsky (2018); Suzuki (2019); Shen et al. (2020); Lu et al. (2021); Shen et al. (2022); Gühring et al. (2020); Gühring and Raslan (2021); Siegel and Xu (2024) and the references therein. These studies cover a variety of function spaces such as Holder continuous spaces,  $C^s$  spaces, Sobolev spaces, Besov spaces, Barron spaces, revealing the broad expressive power of FNNs. Furthermore, some research indicates that by allowing activations other than ReLU and sigmoid in network settings, constructed FNNs can overcome the curse of dimensionality, which is a term proposed by Bellman Bellman (1952) to depict an exponentially increasing difficulty of problems with increasing dimension. These FNNs include such as ReLU-floor networks (Shen et al., 2021a), ReLU- $2^x$ -sine networks (Jiao et al., 2023a), ReLU-sine networks (Yarotsky and Zhevnerchuk, 2020), two types of three-layer networks proposed by (Shen et al., 2021b), where the sizes of all these networks do not grow exponentially with the dimensionality. Interestingly, Yarotsky (2021); Zhang et al. (2022); Wang et al. (2025) have even constructed several networks with their size independent of the approximation accuracy.

Studying the expressive power of Transformers is more challenging compared to FNNs. The main challenge in proving the universal approximation theorem for Transformer models is that Transformers need to consider the context of the entire input sequence. Unlike in FNNs, where each input is processed independently, the self-attention mechanism in Transformer models must consider the dependencies between all tokens in each input sequence. Yun et al. (2020a) introduced the concept of “contextual mapping” to aggregate these dependencies into a token-based quantity through the self-attention mechanism, which is then mapped by a feedforward neural network to the desired output, thus first proving the universal approximation theorem for Transformer models. They demonstrated that if the number of self-attention layers in a Transformer is proportional to the power of the length  $n$  of each input sequence, it can approximate continuous functions on compact support regions. This result was later extended to sparse-attention Transformers (Zaheer et al., 2020; Yun et al., 2020b) and Transformers with constraints (Kratsios et al., 2022). Kim et al. (2023) improved the results of Yun et al. (2020a), showing that  $2n$  layers of self-attention are sufficient for memorizing finite samples. Based on the concept of contextual mapping and the properties of the Boltzmann operator composed of softmax, Kajitsuka and Sato (2024) demonstrated that

a single-layer Transformer with only one head has memorization capabilities, and a Transformer composed of one self-attention layer and two feedforward layers can approximate permutation equivariant functions on compact sets. Hu et al. (2025) extended the results of Kajitsuka and Sato (2024) by generalizing the latter’s rank-1 weight matrices to weight matrices of arbitrary rank. Recently, Jiang and Li (2024) explored the approximation capabilities of Transformer networks for Hölder and Sobolev functions, and apply these results to address nonparametric regression estimation with dependent observations.

In addition, Wei et al. (2022) investigated the expressive power of Transformers under statistical learnability constraints. Gurevych et al. (2022) analyzed the theoretical performance of Transformers from the perspective of a hierarchical compositional model. Subsequently, Takakura and Suzuki (2023) extended their results, demonstrating that a one-layer Transformer with an embedding layer is the universal approximator of a shift-equivariant  $\gamma$  smooth function. Petrov et al. (2024) showed that prompting and prefix-tuning a pretrained model can universally approximate sequence-to-sequence functions. Luo et al. (2022) proved that there exist functions that cannot be approximated by Transformers with positional encodings. From the perspective of sequence modeling, Wang and E (2024); Jiang and Li (2024) investigated the expressive power of the Transformer and compared it with that of RNNs. Works on Transformer approximation of sparse functions include Edelman et al. (2022); Bhattamishra et al. (2023); Sanford et al. (2024); Trauger and Tewari (2024); Wang et al. (2024). More research on the memory capabilities of Transformers can be found in Mahdavi et al. (2024); Madden et al. (2024); Chen and Zou (2024); Kajitsuka and Sato (2025).

There are some other works that studied the expressive power of Transformers from different perspectives. Vuckovic et al. (2020) and Kim et al. (2021) investigated the Lipschitz smoothness of attention operations. Bhojanapalli et al. (2020) demonstrated that the small size of attention heads limits the rank of the self-attention matrix. Wies et al. (2021) pinpointed the rank of the input embedding matrix as a bottleneck limiting the impact of network width on expressive capacity. Dong et al. (2021) showed that without skip connections or feedforward layers, the rank of self-attention layers decreases exponentially. Likhoshesterov et al. (2023) highlighted that self-attention modules have the ability to replicate any sparse pattern.

In addition to the model’s expressive power (approximation error), theoretical analysis of models in machine learning tasks also includes the following two important directions: generalization error, which reflects the model’s performance on unseen data, i.e., the performance gap between training data and test data; and optimization error, which is the gap between the model parameters found by the optimization algorithm and the theoretically optimal parameters. In machine learning tasks such as natural language processing and image processing, investigating the performance of Transformers in these aspects can provide a more comprehensive understanding of their successes and limitations.

## 1.1 Our Contributions

The seminal works on transformer approximation (Yun et al., 2020a; Kim et al., 2023; Kajitsuka and Sato, 2024) focused on the approximation of continuous functions using Transformers, with results all suffering from the curse of dimensionality. A natural question arises: can we construct a Transformer that overcomes the curse of dimensionality under the weakest possible assumptions about the target function? Can the previously established FNNs that have overcome the curse of dimensionality be utilized by us? This paper addresses these questions. Starting from the Kolmogorov–Arnold Representation Theorem, this paper transforms the approximation problem of Transformers into approximation and memorization problems of FNNs, constructing several Transformers that can overcome the curse of dimensionality. This reveals the exceptional expressive power of Transformers

Reference	Use Softmax?	Approximation Rate?	Overcome the Curse of Dimensionality?
Yun et al. (2020a)	✓	✗	✗
Kajitsuka and Sato (2024)	✓	✗	✗
Fang et al. (2022)	✗	✗	✗
Gurevych et al. (2022)	✗	✓	✓
Jiao et al. (2024)	✗	✓	✗
Havrilla and Liao (2024)	✗	✓	✗
Jiao et al. (2025)	✓	✓	✗
<b>Ours</b> (Theorem 6)	✓	✓	✓
<b>Ours</b> (Theorem 7)	✓	✓	✓

Table 1: Comparison of approximation capabilities among various Transformer models.

and partly explains the significant success of Transformers in practical applications. Specifically, the contributions of this paper are as follows:

- This paper constructs several transformers that overcome the curse of dimensionality. To the best of the author’s knowledge, this is the first work demonstrating that transformers can overcome the curse of dimensionality. Furthermore, based on the construction presented in this paper, more transformers of this kind can be generated. The constructed transformers require only one layer of self-attention, with both the depth and width of the feedforward layers being small. We only need to assume that the target function is Hölder continuous, which is a very weak assumption. Therefore, the results of this paper have a broad range of applicability.
- Previous works solely focused on approximations within the  $L^p$  distance. This paper not only introduces transformers capable of approximating the target function within the  $L^p$  distance (Theorem 7), but also develops transformers that can approximate the target function within the  $L^\infty$  distance (Theorem 6).
- The construction in this paper is based on the Kolmogorov–Arnold Representation Theorem and does not require the use of the concept of contextual mapping. Therefore, the proof process in this paper is more intuitive and clear compared to previous transformer approximation works. This offers a new approach to studying the approximation properties of transformers.
- How to directly apply the previous results of FNNs to the study of transformers is an important technical issue addressed in this paper. In the conventional setting of the feedforward layer in transformers, the layer applies the same operation to each column of the input matrix. This paper introduces a translation technique that allows the feedforward layer of the transformer to perform different operations on each column of the input matrix, enabling the application of the Kolmogorov–Arnold Representation Theorem. For details, please refer to Section 3.2.

It is worth noting that Jiang and Li (2024); Petrov et al. (2024) also employed the Kolmogorov–Arnold Representation Theorem to investigate the expressive power of Transformers. However, there are several fundamental differences between our work and theirs. The differences between us and Jiang and Li (2024) are as follows. First, the problem formulations differ: we study an approximation problem mapping from  $\mathbb{R}^{d \times n}$  to  $\mathbb{R}^{d \times n}$ , whereas they examine sequence modeling problems involving temporal indices  $s$ ; Second, the Transformer architectures differ: our

definition aligns with classical theoretical works (Yun et al., 2020a; Kajitsuka and Sato, 2024), while they adopt alternative formulations that notably omit specification of activation functions in feedforward layers. The differences between us and Petrov et al. (2024) are as follows. First, their required parameter complexity is  $\mathcal{O}(\epsilon^{-10-14m-4m^2})$ , with  $m$  being the spatial dimension, whereas our maximum parameter complexity is  $\mathcal{O}\left(\left(\frac{1}{\epsilon}\right)^{4/\beta} \log^3 \frac{1}{\epsilon}\right)$ . Therefore, our results successfully overcome the curse of dimensionality while theirs do not. Second, our application of KST differs from theirs, and our proof techniques are entirely independent. We employ translation techniques, while they utilize what they term “core attention head” methodology. Third, their required number of attention layers exhibits linear dependence on input sequence length, while our approach requires only a single attention layer. Given these fundamental differences, our work constitutes an independent contribution.

We compare our work with existing studies in Table 1. A more detailed comparison and a summary of our main results are provided in Table 2.

## 1.2 Organization of This Paper

The organization of this paper is as follows. In Section 2, we introduce the architecture of our transformer, the Kolmogorov-Arnold Superposition Theorem, and the notations we use. Our main results are presented in Section 3, along with the basic ideas of our construction and formal proofs. In Section 4, we conduct experiments testing the stability of the proposed Transformers during training. In Section 5, we summarize and conclude the paper. The proofs of all auxiliary lemmas can be found in the appendix.

## 2. Preliminaries

### 2.1 Transformer

The Transformer model, first introduced by Vaswani et al. (2017), is a mapping which takes a sequence  $\mathbf{X} \in \mathbb{R}^{d \times n}$  composed of  $n$  tokens each with an embedding dimension of size  $d$  as an input and outputs another sequence with the same size. In a Transformer model, there are two primary layers: a self-attention layer and a token-wise feedforward layer. The self-attention layer recalibrates each token embedding by aggregating information from all tokens in the sequence, calculated through dot-products of token embeddings. Subsequently, the token-wise feed-forward layer operates independently on these adjusted token embeddings without inter-token communication. Notably, Transformers utilize pairwise dot-products exclusively to capture token interactions within the input sequence.

The Transformer we focus on in this paper is composed of alternating feedforward blocks and self-attention layers. A self-attention layer  $\mathcal{F}_{SA} : \mathbb{R}^{d \times n} \rightarrow \mathbb{R}^{d \times n}$  with  $h$  heads is defined as

$$\mathcal{F}_{SA}(\mathbf{X}) := \mathbf{X} + \sum_{i=1}^h \mathbf{W}_O^{(i)} \mathbf{W}_V^{(i)} \mathbf{X} \sigma_S \left[ \left( \mathbf{W}_K^{(i)} \mathbf{X} \right)^\top \left( \mathbf{W}_Q^{(i)} \mathbf{X} \right) \right],$$

where  $\mathbf{W}_V^{(i)}, \mathbf{W}_K^{(i)}, \mathbf{W}_Q^{(i)} \in \mathbb{R}^{s \times d}$  and  $\mathbf{W}_O^{(i)} \in \mathbb{R}^{d \times s}$  are the weight matrices,  $s$  is the head size, and  $\sigma_S : \mathbb{R}^d \rightarrow \mathbb{R}^d$  is the softmax operator with output  $[\sigma_S(\mathbf{x})]_i = e^{x_i} / \sum_{i=1}^d e^{x_i}$ ,  $i \in [d]$  when acting on a vector  $\mathbf{x}$ . Here  $\sigma_S$  acts on the input matrix column-wise. For the sake of simplicity, similar to Yun et al. (2020a); Kim et al. (2023); Kajitsuka and Sato (2024), we excluded the layer normalization from the original definition of Vaswani et al. (2017) here.

A feedforward block  $\mathcal{F}_{FF} : \mathbb{R}^{d \times n} \rightarrow \mathbb{R}^{d \times n}$  with depth  $L$  and element-wise activation  $\sigma$  is defined by

$$\begin{aligned} \mathcal{F}_0 &= \mathbf{X}; \\ \mathcal{F}_l &= \sigma(\mathbf{W}_l \mathcal{F}_{l-1} + \mathbf{B}_l), \quad l \in \{1, 2, \dots, L-1\}; \\ \mathcal{F}_{FF} &= \mathbf{W}_L \mathcal{F}_{L-1}. \end{aligned}$$

where  $\mathbf{W}_l \in \mathbb{R}^{n_l \times n_{l-1}}$  are the weight matrices, and  $\mathbf{B}_l \in \mathbb{R}^{n_l \times n}$  are the bias matrices,  $n_l$  are the hidden dimensions. It can be observed that the feedforward block we define does not include skip connections. In comparison to the standard definition, we also allow the bias matrices  $\mathbf{B}_l$  to have different columns. In the case where the columns of  $\mathbf{B}_l$  are identical vectors  $\mathbf{b}_l$ :  $\mathbf{B}_l = \mathbf{b}_l \mathbf{1}_{1 \times n}$ , we say that  $\mathcal{F}_{FF}$  is generated from a feedforward block  $\mathbf{f}_{FF}$  acting on vectors, where  $\mathbf{f}_{FF} : \mathbb{R}^d \rightarrow \mathbb{R}^d$  is defined in the following way:

$$\begin{aligned} \mathbf{f}_0 &= \mathbf{x}; \\ \mathbf{f}_l &= \sigma(\mathbf{W}_l \mathbf{f}_{l-1} + \mathbf{b}_l), \quad l \in \{1, 2, \dots, L-1\}; \\ \mathbf{f}_{FF} &= \mathbf{W}_L \mathbf{f}_{L-1}. \end{aligned}$$

With these definitions, the Transformer  $\mathbf{T} : \mathbb{R}^{d \times n} \rightarrow \mathbb{R}^{d \times n}$  is composed of alternating feedforward blocks and self-attention layers, with feedforward blocks at both the beginning and the end:

$$\mathbf{T} := \mathcal{F}_{FF}^{(N)} \circ \mathcal{F}_{SA}^{(N)} \circ \mathcal{F}_{FF}^{(N-1)} \circ \dots \circ \mathcal{F}_{FF}^{(1)} \circ \mathcal{F}_{SA}^{(1)} \circ \mathcal{F}_{FF}^{(0)}.$$

## 2.2 Kolmogorov–Arnold Representation Theorem

Kolmogorov–Arnold Superposition Theorem (KST), first proposed by Kolmogorov in 1957 (Kolmogorov, 1957), states that any continuous multivariate function can be represented as a superposition of continuous functions of fewer variables. This theorem provides a fundamental insight into the representation of functions in high-dimensional spaces, offering a way to simplify complex functions by breaking them down into simpler components. It is worth mentioning that KST is closely related to Hilbert’s Thirteenth Problem.

Below we present the original KST:

**Proposition 1 (KST)** *There exist univariate continuous functions  $\psi_{p,q}$  such that for any continuous function  $f : [0, 1]^d \rightarrow \mathbb{R}$ , there exist univariate continuous functions  $g_q$  such that*

$$f(x_1, \dots, x_d) = \sum_{q=0}^{2d} g_q \left( \sum_{p=1}^d \psi_{p,q}(x_p) \right).$$

Since the proposal of KST, a great number of variants have emerged. In 2021, Hieber established a type of KST using the technique of space-filling curves, which can transfer the smoothness properties of the target function to the outer function (Schmidt-Hieber, 2021). This discovery enables researchers to apply KST to investigate the approximation properties of FNNs. Our work is also built upon his result.

**Definition 2 (Hölder continuous function)** *Let  $\beta \leq 1, Q \in \mathbb{R}$ .  $f : [0, 1]^d \rightarrow \mathbb{R}$  is called  $(\beta, Q)$ -Hölder continuous function if for any  $\mathbf{x}, \mathbf{y} \in [0, 1]^d$ , there holds*

$$|f(\mathbf{x}) - f(\mathbf{y})| \leq Q \|\mathbf{x} - \mathbf{y}\|_\infty^\beta. \tag{1}$$

where  $\|\cdot\|_\infty$  is the infity norm of vectors.  $\mathcal{H}_Q^\beta([0, 1]^d, \mathbb{R})$  denotes the class that consisting all the  $(\beta, Q)$ -Hölder continuous function  $f : [0, 1]^d \rightarrow \mathbb{R}$ .

**Definition 3** Let  $\mathcal{C}$  be the Cantor set.  $\mathcal{H}_Q^\beta(\mathcal{C}, \mathbb{R})$  is defined in a manner similar to Definition 2.

**Proposition 4 (Schmidt-Hieber (2021), Theorem 2)** Let  $d \in \mathbb{N}_{\geq 1}$ . There exists a monotone function  $\phi : [0, 1] \rightarrow \mathcal{C}$  such that for any function  $f \in \mathcal{H}_Q^\beta([0, 1]^d, \mathbb{R})$ , we can find a function  $g \in \mathcal{H}_{2^{\frac{\beta \log 2}{d \log 3}} Q}^\beta(\mathcal{C}, \mathbb{R})$  such that

$$f(x_1, \dots, x_d) = g\left(3 \sum_{p=1}^d 3^{-p} \phi(x_p)\right).$$

In Schmidt-Hieber (2021), the explicit form of  $\phi(x)$  is provided. For  $x = [0.a_1^x a_2^x \dots]_2 \in [0, 1]$ ,

$$\phi(x) = \sum_{j=1}^{\infty} 2a_j^x 3^{-1-d(j-1)}.$$

An approximation of  $\phi(x)$  with the precision parameter  $K$  is given by

$$\phi_K(x) := \sum_{j=1}^K 2a_j^x 3^{-1-d(j-1)},$$

which will be used in our construction.

**Remark 5** Proposition 4 achieves the transfer of smoothness from the target function  $f$  to the outer function  $g$  at the cost of discontinuities in the inner function  $\phi$ . All discontinuity points of  $\phi$  consist of the dyadic rationals within the interval  $[0, 1]$ . Dyadic rationals refer to those numbers possessing finite binary expansions. Their binary expansions are not unique; there is one finite and one infinite representation of each dyadic rational other than 0. For instance, for the dyadic rational  $1/2$ , the finite binary representation is  $[0.1]_2$ , while the infinite binary representation is  $[0.0111\dots]_2$ . The dyadic rationals are the only numbers whose binary expansions are not unique. For further discussion on dyadic rationals, readers may refer to Ko (2012).

At these discontinuity points,  $\phi$  can take on two possible values (since dyadic rationals admit exactly two binary representations), and either choice is mathematically valid. Once a specific value assignment for  $\phi$  at its discontinuity points is prescribed,  $\phi$  becomes well-defined. Under the construction in Schmidt-Hieber (2021), the resulting function  $\Phi^{-1}$  is Hölder continuous (where  $\Phi := 3 \sum_{p=1}^d 3^{-p} \phi(x_p)$ ), and consequently, the composition  $g = f \circ \Phi^{-1}$  is also Hölder continuous.

In this work, we construct FNNs to implement  $\phi_K$ , the truncation of  $\phi$ . Specifically, Lemma 15 employs continuous ReLU-FNNs for implementation, where the discontinuity points of  $\phi_K$  are contained within the flawed region  $\Omega^{(flaw)}$ . In Lemma 16, discontinuous floor-ReLU-FNNs are constructed for implementation. According to the proof process, it can be observed that in this case, the definition of  $\phi_K$  at discontinuity points (dyadic rationals) adopts the finite binary representation of these points.

### 2.3 Notations

- $\mathbb{N}_{\geq 1}$  is the set consisting of all positive integers.  $[N] = \{1, 2, \dots, N\}$ .
- The definition of  $\mathcal{H}_Q^\beta([0, 1]^{d \times n}, \mathbb{R})$  is the same as Definition 2, except that the vector infinity norm in (1) is replaced by the element-wise matrix infinity norm. For  $\mathbf{f} : [0, 1]^{d \times n} \rightarrow \mathbb{R}^{d \times n}$ , we say that  $\mathbf{f} \in \mathcal{H}_Q^\beta([0, 1]^{d \times n}, \mathbb{R}^{d \times n})$  if  $f_{rs} \in \mathcal{H}_Q^\beta([0, 1]^{d \times n}, \mathbb{R})$  for all  $r \in [n], s \in [d]$ .

- Let  $p \in [1, \infty)$ . The distances  $d_\infty$  and  $d_p$  between two functions  $\mathbf{f}, \mathbf{g} \in \mathbb{R}^{d \times n} \rightarrow \mathbb{R}^{d \times n}$  are defined in the following manners:

$$d_\infty(\mathbf{f}, \mathbf{g}) := \max_{\mathbf{X} \in [0,1]^{d \times n}} \max_{r \in [d], s \in [n]} |g_{rs}(\mathbf{X}) - f_{rs}(\mathbf{X})|,$$

$$d_p(\mathbf{f}, \mathbf{g}) := \left( \int_{\mathbf{X} \in [0,1]^{d \times n}} \sum_{r \in [d], s \in [n]} |g_{rs}(\mathbf{X}) - f_{rs}(\mathbf{X})|^p d\mathbf{X} \right)^{1/p}.$$

- Let  $\sigma_R(x) = \begin{cases} x, & x \geq 0; \\ 0, & x < 0 \end{cases}$ ,  $\sigma_F(x) = \lfloor x \rfloor$ . Let  $\sigma_{RC}(x) = \frac{1}{\alpha+x}$  with  $\alpha$  being any transcendental number (A transcendental number is a complex number that is not a root of any polynomial equation with rational coefficients). Let  $\sigma_{NP}$  be any real analytic function that is non-polynomial in some interval. Let  $\sigma_P$  be any periodic function.
- Let  $\mathcal{FF}(L, W, A)$  be the set that contains all feedforward neural network functions with depth  $L$ , width  $W$  and activation functions in set  $A$ .

### 3. Transformer Can Overcome the Curse of Dimensionality

#### 3.1 Main Results

The following two theorems are the main results of this paper. Here  $f_{\max}, g_{\max}$  and  $g_{\min}$  are defined by

$$f_{\max} := \max_{1 \leq r \leq d, 1 \leq s \leq n} \max_{\mathbf{x} \in [0,1]^d} f_{rs}(\mathbf{x}),$$

$$g_{\max} := \max_{1 \leq r \leq d, 1 \leq s \leq n} \max_{x \in \mathcal{C}} g_{rs}(x),$$

$$g_{\min} := \min_{1 \leq r \leq d, 1 \leq s \leq n} \min_{x \in \mathcal{C}} g_{rs}(x),$$

where  $\{f_{rs}\}$  are the components of  $\mathbf{f} \in \mathcal{H}_Q^\beta([0,1]^{d \times n}, \mathbb{R}^{d \times n})$ ,  $\{g_{rs}\}$  are the outer functions in Proposition 4 for  $\{f_{rs}\}$ .

**Theorem 6** *Let  $\mathbf{f} \in \mathcal{H}_Q^\beta([0,1]^{d \times n}, \mathbb{R}^{d \times n})$ . For any  $\epsilon > 0$ , there exist feedforward blocks  $\mathcal{F}_{FF}^{(sr)} : \mathbb{R}^{d \times n} \rightarrow \mathbb{R}^{2d \times n}$ ,  $\tilde{\mathcal{F}}_{FF}^{(mmr)} : \mathbb{R}^{2d \times n} \rightarrow \mathbb{R}^{d \times n}$  and a self-attention layer  $\mathcal{F}_{SA} : \mathbb{R}^{2d \times n} \rightarrow \mathbb{R}^{2d \times n}$  such that for the transformer  $\mathbf{T} : \mathbb{R}^{d \times n} \rightarrow \mathbb{R}^{d \times n}$  defined by*

$$\mathbf{T} := \tilde{\mathcal{F}}_{FF}^{(mmr)} \circ \mathcal{F}_{SA} \circ \mathcal{F}_{FF}^{(sr)},$$

there holds

$$d_\infty(\mathbf{T}, \mathbf{f}) \leq \epsilon.$$

$\mathcal{F}_{FF}^{(sr)}$  is a feedforward block with depth  $\left\lceil \frac{1}{\beta} \log_2 \frac{2^{1-\beta} Q}{\epsilon} \right\rceil + 3$ , width  $3dn$  and activation functions being ReLU and floor. The form of  $\tilde{\mathcal{F}}_{FF}^{(mmr)}$  can be one of the following:

- (1) Its activation functions are ReLU and floor, its depth is  $7dn - 2$  and its width is  $d \left( 2 \left\lceil n \left( \frac{2^{1-\beta} Q}{\epsilon} \right)^{2/\beta} \right\rceil + 2 \right) \left\lceil \log_2 \frac{2(g_{\max} - g_{\min})}{\epsilon} \right\rceil$ ;

- (2) Its activation functions are ReLU, floor and  $2^x$ , its depth is 6 and its width is  $\left\lceil 3d \log_2 \frac{2(g_{\max} - g_{\min})}{\epsilon} \right\rceil$ ;
- (3) Its activation functions are ReLU, floor and  $\sigma_{NP}$ , its depth is 3 and its width is  $3d$ ;
- (4) Its activation functions are ReLU, floor and  $\sigma_{RC}$ , its depth is 3 and its width is  $3d$ .

**Theorem 7** Let  $\mathbf{f} \in \mathcal{H}_Q^\beta([0, 1]^{d \times n}, \mathbb{R}^{d \times n})$ . Let  $p \in [1, \infty)$ . For any  $\epsilon > 0$ , there exist feedforward blocks  $\mathcal{F}_{FF}^{(sr)} : \mathbb{R}^{d \times n} \rightarrow \mathbb{R}^{2d \times n}$ ,  $\tilde{\mathcal{F}}_{FF}^{(mmr)} : \mathbb{R}^{2d \times n} \rightarrow \mathbb{R}^{d \times n}$  and a self-attention layer  $\mathcal{F}_{SA} : \mathbb{R}^{2d \times n} \rightarrow \mathbb{R}^{2d \times n}$  such that for the transformer  $\mathbf{T} : \mathbb{R}^{d \times n} \rightarrow \mathbb{R}^{d \times n}$  defined by

$$\mathbf{T} := \tilde{\mathcal{F}}_{FF}^{(mmr)} \circ \mathcal{F}_{SA} \circ \mathcal{F}_{FF}^{(sr)},$$

there holds

$$d_p(\mathbf{T}, \mathbf{f}) \leq \epsilon.$$

$\mathcal{F}_{FF}^{(sr)}$  is a feedforward block with depth

$$2 \max \left\{ \left\lceil \frac{1}{\beta} \log_2 \frac{2^{1/p} d^{2/p} n^{2/p} (B_\sigma + f_{\max})}{\epsilon} \right\rceil, \left\lceil \frac{1}{\beta} \log_2 \frac{2^{1-\beta} (2dn)^{1/p} Q}{\epsilon} \right\rceil \right\},$$

width  $4dn$  and activation functions being ReLU. The form of  $\tilde{\mathcal{F}}_{FF}^{(mmr)}$  can be one of the following:

- (1) Its activation functions are ReLU, sine and  $2^x$ , its depth is 4 and its width is  $2d \left\lceil \log_2 \frac{2(2dn)^{1/p} (g_{\max} - g_{\min})}{\epsilon} \right\rceil$ .
- (2) Its activation functions are ReLU, cosine and  $3^x$ , its depth is 5 and its width is  $d \left\lceil \log_2 \frac{2(2dn)^{1/p} (g_{\max} - g_{\min})}{\epsilon} \right\rceil$ .
- (3) Its activation functions are  $\sigma_P$  and  $\sigma_{NP}$ , its depth is 3 and its width is  $3d$ .
- (4) Its activation functions are  $\sigma_P$  and  $\sigma_{RC}$ , its depth is 3 and its width is  $3d$ .

**Remark 8** The size of  $\tilde{\mathcal{F}}_{FF}^{(mmr)}$  presented in Theorem 6 gradually decreases. We can observe that the parameter number of (1) is  $\mathcal{O}(\log \frac{1}{\epsilon})$ ; whereas the parameter number of (4) is  $\mathcal{O}(1)$ . The same applies to Theorem 7.

**Remark 9** Based on the proofs in Section 3.3, we know that Theorems 6 and 7 only present a subset of Transformers that can overcome the curse of dimensionality. Our goal here is to limit the total number of activation function types in the feedforward layers to no more than three. In fact, if more activation functions are allowed, according to Lemmas 12, 13 and 14, we can generate more Transformers that does not suffer from the curse of dimensionality.

### 3.2 Basic Idea of Our Construction

In this section, we illustrate the basic idea of how we construct the transformer by considering the case when  $d = 2, n = 3$ . In this case,

$$\mathbf{x} = \begin{pmatrix} x_{11} & x_{12} & x_{13} \\ x_{21} & x_{22} & x_{23} \end{pmatrix} \in \mathbb{R}^{2 \times 3}$$

and the target function  $\mathbf{f} \in \mathcal{H}_Q^\beta([0, 1]^{2 \times 3}, \mathbb{R}^{2 \times 3})$  can be written in the following way:

$$\mathbf{f}(\mathbf{x}) = \begin{pmatrix} f_{11}(\mathbf{x}) & f_{12}(\mathbf{x}) & f_{13}(\mathbf{x}) \\ f_{21}(\mathbf{x}) & f_{22}(\mathbf{x}) & f_{23}(\mathbf{x}) \end{pmatrix},$$

where  $f_{rs} \in \mathcal{H}_Q^\beta([0, 1]^{2 \times 3}, \mathbb{R})$  for  $r = 1, 2; s = 1, 2, 3$ .

Proposition 4, the Kolmogorov–Arnold representation theorem, enables us to find  $g_{rs} \in \mathcal{H}_{2^{\frac{\beta \log 2}{6 \log 3}} Q}^{\frac{\beta \log 2}{6 \log 3}}(\mathcal{C}, \mathbb{R})$  such that for  $r = 1, 2; s = 1, 2, 3$ ,

$$f_{rs}(\mathbf{x}) = g_{rs} \left( 3 \sum_{p=1}^2 \sum_{q=1}^3 a_{pq} \phi(x_{pq}) \right).$$

where  $a_{pq} := \frac{1}{3^{3(p-1)+q}}$ .

Let  $\phi_K(x)$  be an approximant of  $\phi(x)$ :

$$\phi_K(x) := 2 \sum_{j=1}^K a_j^x 3^{-6(j-1)-1}$$

and

$$\begin{aligned} a(\mathbf{x}) &:= \sum_{p=1}^2 \sum_{q=1}^3 a_{pq} \phi_K(x_{pq}), \\ b(\mathbf{x}) &:= 3^{6K+1} a(\mathbf{x}) + 1. \end{aligned}$$

It can be seen that  $g_{rs}(3a(\mathbf{x}))$  is an approximant of  $f_{rs}(\mathbf{x})$ .

Below is a rough process of our construction in this paper. Here, steps one to two are implemented using a feedforward block; step three is implemented using a self-attention layer; steps four to six are implemented using another feedforward block.

$$\begin{aligned} & \begin{pmatrix} x_{11} & x_{12} & x_{13} \\ x_{21} & x_{22} & x_{23} \end{pmatrix} \\ \implies & \begin{pmatrix} x_{11} & x_{12} + 2 & x_{13} + 4 \\ x_{21} & x_{22} + 2 & x_{23} + 4 \end{pmatrix} \\ \implies & \begin{pmatrix} \sum_p a_{p1} \phi_K(x_{p1}) & \sum_p a_{p2} \phi_K(x_{p2}) & \sum_p a_{p3} \phi_K(x_{p3}) \\ \sum_p a_{p1} \phi_K(x_{p1}) & \sum_p a_{p2} \phi_K(x_{p2}) & \sum_p a_{p3} \phi_K(x_{p3}) \end{pmatrix} \\ \implies & \begin{pmatrix} a(\mathbf{x}) & a(\mathbf{x}) & a(\mathbf{x}) \\ a(\mathbf{x}) & a(\mathbf{x}) & a(\mathbf{x}) \end{pmatrix} \\ \implies & \begin{pmatrix} b(\mathbf{x}) & b(\mathbf{x}) & b(\mathbf{x}) \\ b(\mathbf{x}) & b(\mathbf{x}) & b(\mathbf{x}) \end{pmatrix} \end{aligned}$$

$$\begin{aligned} &\Rightarrow \begin{pmatrix} b(\mathbf{x}) & b(\mathbf{x}) + 3^{6K} & b(\mathbf{x}) + 2 \cdot 3^{6K} \\ b(\mathbf{x}) & b(\mathbf{x}) + 3^{6K} & b(\mathbf{x}) + 2 \cdot 3^{6K} \end{pmatrix} \\ &\Rightarrow \begin{pmatrix} g_{11}(3a(\mathbf{x})) & g_{12}(3a(\mathbf{x})) & g_{13}(3a(\mathbf{x})) \\ g_{21}(3a(\mathbf{x})) & g_{22}(3a(\mathbf{x})) & g_{23}(3a(\mathbf{x})) \end{pmatrix} \end{aligned}$$

Now let's explain each of these steps. First, we are going to explain steps one and two. It is difficult to directly transform  $\mathbf{x}$  into the matrix in the third row. This is because to achieve this, it is necessary to use three different column functions to map each column (a two-dimensional vector) to a new two-dimensional vector. Note that these column functions are each unique pairwise, as can be understood from the fact that the combination coefficients for the first column are  $a_{p1}$  while for the second column they are  $a_{p2}$ . Since  $\phi_K$  can be (approximately) implemented using a feedforward neural network function, each column function can also be (approximately) implemented using a feedforward neural network function. However, because the three column functions are different, it is not possible to use the same feedforward neural network function to simultaneously implement the transformation of all three columns. To address this issue, we have developed a technique here: by applying different translations to the three columns, at the cost of broadening the domain of the column functions, we transform the problem of implementing three distinct column functions into that of implementing a single column function. Therefore, the role of step one is to perform a translation on each column, while step two involves implementing a specific column function.

Step three involves summing the matrix columns, an operation that can be implemented using a self-attention layer.

Step four is a linear mapping step designed to ensure that the points to be memorized in the memory task in step six are all integers. This allows us to utilize the results of integer memorization from the feedforward neural network (Lemma 17,19,20). Step five is another translation step, with a purpose similar to the first step: through this translation step, we transform the problem of implementing three different column functions into implementing a single column function. Step six involves two memorization problems concerning up to  $3 \cdot 3^{6K}$  integers: given  $r \in \{1, 2\}$ , find a feedforward neural network function  $f_{FF,r}^{(mmr)}$  such that for any  $\mathbf{x} \in [0, 1]^d$  and  $s \in \{1, 2, 3\}$ , there holds

$$f_{FF,r}^{(mmr)}(b(\mathbf{x}) + (s - 1) \cdot 3^{6K}) = g_{rs}(3a(\mathbf{x})).$$

This is because the set

$$\Lambda := \{b(\mathbf{x}) + (s - 1) \cdot 3^{6K} : \mathbf{x} \in [0, 1]^{2 \times 3}, s \in \{1, 2, 3\}\}$$

is finite and  $\Lambda \subset \{1, 2, \dots, 3 \cdot 3^{6K}\}$ .

**Remark 10** *The cardinality of  $\Lambda$  is actually  $|\Lambda| = 3 \cdot 2^{6K}$ , which we here magnify to  $3 \cdot 3^{6K}$ . This manipulation has a negligible effect on the orders of the size of the transformers in Theorems 6 and 7.*

**Remark 11** *From the process described above, we can see that the summation required in the KST is divided into two parts in its construction. The summation over rows is achieved by the feedforward layers, while the summation over columns is accomplished by the self-attention mechanism.*

*As a model that accepts multiple input tokens, to achieve the approximation of Hölder continuous functions, the Transformer model must implement some form of interaction between tokens, that is, between columns. Many previous studies on the approximation ability of Transformers have involved complex inter-column interactions, whereas our construction offers a simple and intuitive*

*perspective: even using only summation across columns, without any more intricate operations, suffices to approximate Hölder continuous functions. This also indicates that KST provides an excellent framework for studying the expressive power of Transformers. The column-wise summation in KST cannot be implemented by feedforward blocks. This is because feedforward blocks can only operate on the rows of the input matrix and cannot process columns (since the weight matrices in feedforward blocks perform left-multiplication on the input independent variables). Therefore, the self-attention structure is indispensable and cannot be replaced by feedforward blocks.*

### 3.3 Proof of Theorems 6 and 7

We define the inner matrix as the matrix in step five in section 3.2. More generally, given

$$\mathbf{X} = \begin{pmatrix} x_{11} & x_{12} & \cdots & x_{1n} \\ x_{21} & x_{22} & \cdots & x_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ x_{d1} & x_{d2} & \cdots & x_{dn} \end{pmatrix} \in [0, 1]^{d \times n},$$

define the inner matrix  $\mathbf{Z} := \mathbf{Z}(\mathbf{X}) \in \mathbb{R}^{d \times n}$  by

$$\mathbf{Z}_{:,s} = \left[ \sum_{q=1}^n \sum_{p=1}^d \frac{3^{Kdn+1}}{3^{(p-1)s+q}} \phi_K(x_{pq}) + 1 + (s-1) \cdot 3^{Kdn} \right] \mathbf{1}_{d \times 1}$$

for  $s \in [n]$ . With this definition, the proof of Theorems 6 and 7 can be divided into two parts: one part proves that for (almost) all  $\mathbf{X} \in [0, 1]^{d \times n}$ , there exist a feedforward block  $\mathcal{F}_{FF}^{(sr)}$ , a self-attention layer  $\mathcal{F}_{SA}$ , and a linear transformation layer  $\mathcal{A}$  such that

$$\mathbf{Z} = \mathcal{A} \circ \mathcal{F}_{SA} \circ \mathcal{F}_{FF}^{(sr)}(\mathbf{X});$$

the other part proves that for a given  $\mathbf{Z}$ , there exists a feedforward block  $\mathcal{F}_{FF}^{(mmr)}$  such that

$$\mathcal{F}_{FF}^{(mmr)}(\mathbf{Z}) \approx \mathbf{f}(\mathbf{X}).$$

These two parts correspond respectively to steps one to five and step six in section 3.2. The first part is guaranteed by Lemma 12 below.

**Lemma 12** *Let  $K \in \mathbb{N}_{\geq 1}$ . Let  $p \in [1, \infty)$ . There exist a feedforward block  $\mathcal{F}_{FF}^{(sr)} : \mathbb{R}^{d \times n} \rightarrow \mathbb{R}^{2d \times n}$ , a self-attention layer  $\mathcal{F}_{SA} : \mathbb{R}^{2d \times n} \rightarrow \mathbb{R}^{2d \times n}$  and a linear transformation layer  $\mathcal{A} : \mathbb{R}^{2d \times n} \rightarrow \mathbb{R}^{d \times n}$  such that*

$$\mathbf{Z} = \mathcal{A} \circ \mathcal{F}_{SA} \circ \mathcal{F}_{FF}^{(sr)}(\mathbf{X}). \tag{2}$$

The form of  $\mathcal{F}_{FF}^{(sr)}$  can be one of the following:

- (1) Its activation function is ReLU, its depth is  $2K$  and its width is  $4dn$ .
- (2) Its activation functions are ReLU and floor, its depth is  $K + 3$  and its width is  $3dn$ .

For the first case, (2) holds for  $\mathbf{X} \in \{[0, 1] \setminus \Omega^{(flow)}\}^{d \times n}$ ; for the second case, (2) holds for  $\mathbf{X} \in [0, 1]^{d \times n}$ . Here  $\Omega^{(flow)} \subset [0, 1]$  is some region with the Lebesgue measure not greater than  $2^{-K\beta p}$ .

The second part is guaranteed by Lemmas 13 and 14 below, where the former is based on binary memorization, and the latter is based on the memorization with labels of arbitrary real numbers.

**Lemma 13** *Let  $K, H \in \mathbb{N}_{\geq 1}$ . There exists a feedforward neural network function  $\mathbf{f}_{FF}^{(mmr)} : \mathbb{R}^d \rightarrow \mathbb{R}^d$  such that for  $\tilde{\mathbf{f}}(\mathbf{X}) := \mathcal{F}_{FF}^{(mmr)}(\mathbf{Z}(\mathbf{X}))$ , there holds*

$$|\tilde{f}_{rs}(\mathbf{X}) - f_{rs}(\mathbf{X})| \leq \frac{g_{\max} - g_{\min}}{2^H} + \frac{2^\beta Q}{2^{(K+2)\beta}},$$

for any  $\mathbf{X} \in [0, 1]^d, r \in [d], s \in [n]$ .  $\mathbf{f}_{FF}^{(mmr)}$  can be in one of the following feedforward neural network classes:

- (1)  $\mathcal{FF}(7L - 2, (2 \lceil (n3^{Kdn})^{1/L} \rceil + 2) dH, \{\text{ReLU}, \text{floor}\})$ , where  $L$  can be any positive integer number;
- (2)  $\mathcal{FF}(6, 3dH, \{\text{ReLU}, \text{floor}, 2^x\})$ ;
- (3)  $\mathcal{FF}(4, 2dH, \{\text{ReLU}, \text{sine}, 2^x\})$ ;
- (4)  $\mathcal{FF}(5, dH, \{\text{ReLU}, \text{cosine}, 3^x\})$ .

**Lemma 14** *Let  $K \in \mathbb{N}_{\geq 1}$ . There exists a feedforward neural network function  $\mathbf{f}_{FF}^{(mmr)} : \mathbb{R}^d \rightarrow \mathbb{R}^d$  such that for  $\tilde{\mathbf{f}}(\mathbf{X}) := \mathcal{F}_{FF}^{(mmr)}(\mathbf{Z}(\mathbf{X}))$ , there holds*

$$|\tilde{f}_{rs}(\mathbf{X}) - f_{rs}(\mathbf{X})| \leq \delta + \frac{2^\beta Q}{2^{(K+2)\beta}},$$

for any  $\mathbf{X} \in [0, 1]^d, r \in [d], s \in [n]$ . Here  $\delta > 0$  can be arbitrarily small.  $\mathbf{f}_{FF}^{(mmr)}$  can be in one of the following feedforward neural network classes:

- (1)  $\mathcal{FF}(3, d, \{\sigma_P, \sigma_{NP}\})$ ;
- (2)  $\mathcal{FF}(3, d, \{\sigma_P, \sigma_{RC}\})$ .

### 3.3.1 PROOF OF THEOREM 6

(1) and (2) arise from (2) of Lemma 12 and (1)(2) of Lemma 13. (3) and (4) arise from (2) of Lemma 12 and (1)(2) of Lemma 14. Note that here the general periodic function  $\sigma_P(x)$  in Lemma 14 is replaced by a specific periodic function with period 1 and equaling to  $x$  on  $[0, 1)$ . This function can be implemented by a ReLU-floor feedforward network function with depth 2 and width 3:

$$\sigma_R(x) + \sigma_R(-x) - \sigma_F(x).$$

$\tilde{\mathcal{F}}_{FF}^{(mmr)}$  is defined by

$$\tilde{\mathcal{F}}_{FF}^{(mmr)} := \mathcal{F}_{FF}^{(mmr)} \circ \mathcal{A},$$

where  $\mathcal{A}$  is from Lemma 12 and  $\mathcal{F}_{FF}^{(mmr)}$  is from Lemma 13 or Lemma 14. Parameters in these lemmas are set to be

$$\begin{aligned} H &= \left\lceil \log_2 \frac{2(g_{\max} - g_{\min})}{\epsilon} \right\rceil, \\ K &= \left\lceil \frac{1}{\beta} \log_2 \frac{2^{1-\beta} Q}{\epsilon} \right\rceil, \\ L &= dn. \end{aligned}$$

## 3.3.2 PROOF OF THEOREM 7

(1) and (2) arise from (1) of Lemma 12 and (3)(4) of Lemma 13. (3) and (4) arise from (1) of Lemma 12 and (1)(2) of Lemma 14.  $\tilde{\mathcal{F}}_{FF}^{(mmr)}$  is defined by

$$\tilde{\mathcal{F}}_{FF}^{(mmr)} := \mathcal{F}_{FF}^{(mmr)} \circ \mathcal{A},$$

where  $\mathcal{A}$  is from Lemma 12 and  $\mathcal{F}_{FF}^{(mmr)}$  is from Lemma 13 or Lemma 14.

To bound the  $L^p$  distance of  $\mathbf{T}$  and  $\mathbf{f}$ , we decompose the whole integral into two parts:

$$\begin{aligned} & [d_p(\mathbf{T}, \mathbf{f})]^p \\ &= \int_{\mathbf{X} \in [0,1]^d} \sum_{r \in [d], s \in [n]} |T_{rs}(\mathbf{X}) - f_{rs}(\mathbf{X})|^p d\mathbf{X} \\ &= \int_{\mathbf{X} \in \Omega_1} \sum_{r \in [d], s \in [n]} |T_{rs}(\mathbf{X}) - f_{rs}(\mathbf{X})|^p d\mathbf{X} + \int_{\mathbf{X} \in \Omega_2} \sum_{r \in [d], s \in [n]} |T_{rs}(\mathbf{X}) - f_{rs}(\mathbf{X})|^p d\mathbf{X}, \end{aligned}$$

where  $\Omega_1 := \{[0, 1] \setminus \Omega^{(flow)}\}^{d \times n}$  denotes the region where  $T_{rs}$  can effectively approximate  $f_{rs}$ , whereas  $\Omega_2 := [0, 1]^{d \times n} \setminus \{[0, 1] \setminus \Omega^{(flow)}\}^{d \times n}$  represents the region where  $T_{rs}$  fails to approximate  $f_{rs}$  well.

Lemma 13 enables us to derive an upper bound for the first term (the cases when adopting Lemma 14 can be handled almost the same and hence we omit this part):

$$dn \left( \frac{g_{\max} - g_{\min}}{2^H} + \frac{2^\beta Q}{2^{(K+2)\beta}} \right)^p.$$

By our construction (see Lemma 13 and Lemma 14 for details), the range of  $T_{rs}$  is bounded by some constants  $B_\sigma$ , therefore the integrand of the second term is simply bounded by  $dn(B_\sigma + f_{\max})^p$ . Furthermore, since  $m(\Omega^{(flow)}) \leq 2^{-K\beta p}$ , by Bernoulli's inequality we can derive a bound for the measure of the region of the second term:

$$\begin{aligned} m\left([0, 1]^d \setminus \{[0, 1] \setminus \Omega^{(flow)}\}^{d \times n}\right) &= 1 - \left(1 - m\left(\Omega^{(flow)}\right)\right)^{dn} \\ &\leq dn \cdot m\left(\Omega^{(flow)}\right) \leq dn 2^{-K\beta p}. \end{aligned}$$

Therefore the second term is bounded by

$$2^{-K\beta p} d^2 n^2 (B_\sigma + f_{\max})^p.$$

By far we obtain

$$[d_p(\mathbf{T}, \mathbf{f})]^p \leq dn \left( \frac{g_{\max} - g_{\min}}{2^H} + \frac{2^\beta Q}{2^{(K+2)\beta}} \right)^p + \frac{d^2 n^2 (B_\sigma + f_{\max})^p}{2^{K\beta p}}.$$

By setting the parameters

$$\begin{aligned} K &= \max \left\{ \left\lceil \frac{1}{\beta} \log_2 \frac{2^{1/p} d^{2/p} n^{2/p} (B_\sigma + f_{\max})}{\epsilon} \right\rceil, \left\lceil \frac{1}{\beta} \log_2 \frac{2^{1-\beta} (2dn)^{1/p} Q}{\epsilon} \right\rceil \right\}, \\ H &= \left\lceil \log_2 \frac{2(2dn)^{1/p} (g_{\max} - g_{\min})}{\epsilon} \right\rceil, \end{aligned}$$

we arrive the desired accuracy  $\epsilon$ .

### 4. Experiments

In this section, we conduct simple experiments testing the stability of the proposed Transformers during training. We consider using our Transformers to approximate the objective function

$$f(x_1, x_2) := (0.6 \sin(10x_1) + 0.4 \sin(20x_2), 0.4 \sin(6x_1) + 0.6 \sin(12x_2)).$$

The 3D plot of the two components of this function is shown in Figure 1.

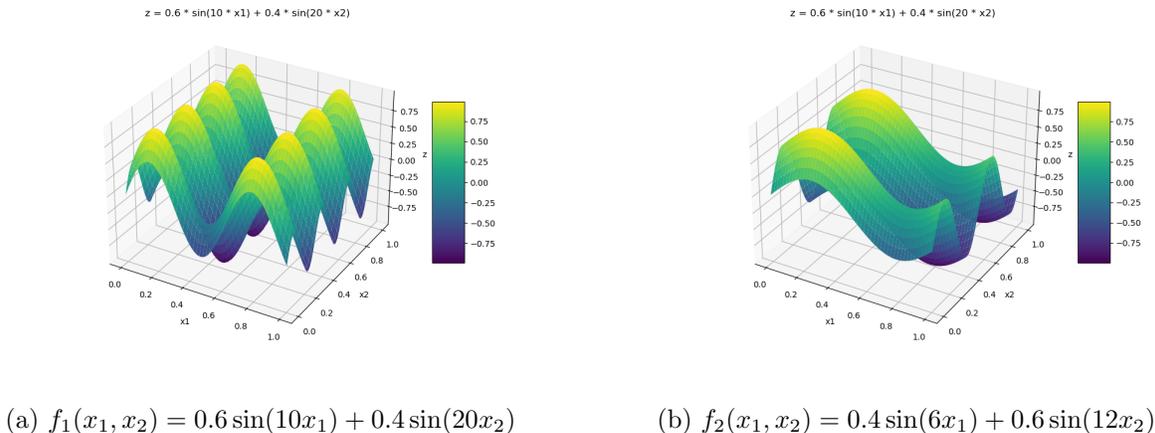


Figure 1: Target function

The training dataset consists of 10,000 samples, and the test dataset consists of 2,000 samples. Both are uniformly distributed on  $[0, 1]^2$ . The Transformer consists of 2 feedforward blocks  $\mathcal{F}_1$  and  $\mathcal{F}_2$  and one self-attention layer  $\mathcal{F}_{SA}$ . The width of  $\mathcal{F}_1$  and  $\mathcal{F}_2$  is 50, with a depth of 5 for  $\mathcal{F}_1$  and a depth of 3 for  $\mathcal{F}_2$ . The number of heads in  $\mathcal{F}_{SA}$  is 5. Dropout is applied to  $\mathcal{F}_1$ . The mean squared error (MSE) is used as the loss function. Training is performed using the Adam algorithm Kingma and Ba (2015) with a batch size of 64. The training runs for 500 epochs. The initial learning rate is set to 0.001, which decays by a factor of 0.1 every 200 epochs. Gradient clipping is applied during the training process. Figure 2 shows the descent curves of training loss and test loss during training under several combinations of activation functions in  $\mathcal{F}_1$  and  $\mathcal{F}_2$ . These experiments demonstrate that the Transformer we proposed has a certain level of stability during training.

### 5. Conclusions

This paper investigates the approximation of the Hölder continuous function class by Transformers and constructs several Transformers that can overcome the curse of dimensionality. These Transformers consist of one self-attention layer with one head and the softmax function as the activation function, along with several small-sized feedforward layers. These results demonstrate that Transformers have a strong expressive capability. The construction in this paper is based on the Kolmogorov-Arnold Superposition Theorem and does not require the concept of contextual mapping. Additionally, the translation technique proposed in this paper helps to apply the previous approximation results of feedforward neural networks to Transformer research.

There are still many research topics related to Transformers worth exploring in the future. Below, we outline two possible directions.

Assuming the target function is Hölder continuous provides a natural starting point for studying the approximation capabilities of Transformers. When considering the approximation of Transformers

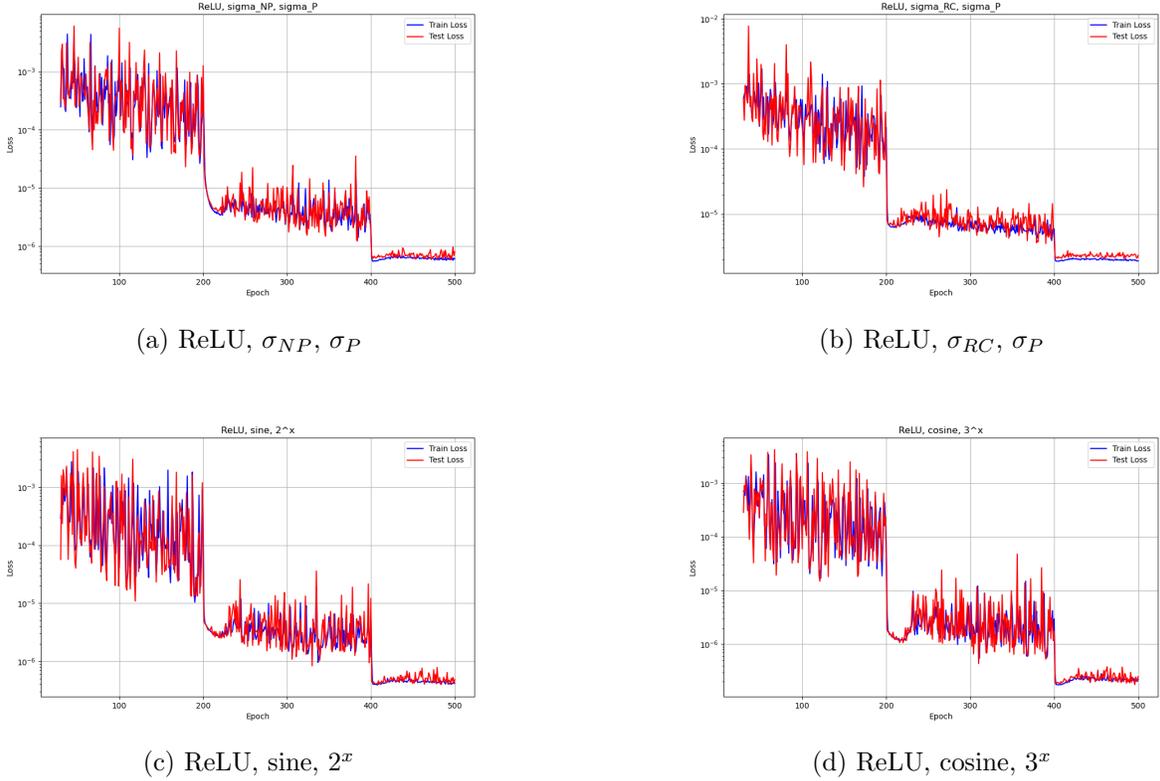


Figure 2: Training and test losses during training

in general continuous function spaces  $C^s(\Omega)$ , Sobolev spaces  $W^{s,p}(\Omega)$ , or Besov spaces  $B_{p,q}^s(\Omega)$ , the framework presented in this paper is no longer applicable. This is because, to the best of our knowledge, there are currently no generalizations of KST for these specific spaces. A common approach to studying the neural network approximation capabilities in these function spaces is to first approximate functions in these spaces using piecewise polynomials, and then use neural networks to approximate the piecewise polynomials. This methodology has been frequently employed in studies on the approximation power of FNNs (Lu et al., 2021; Gühring and Raslan, 2021; Siegel, 2023; Yang, 2025). However, how to use Transformers to approximate polynomial functions remains a challenging problem. In fact, this is precisely part of our ongoing work.

Neural networks for solving least squares regression problems represent an important application in the field. Several works have demonstrated that FNNs can achieve the minimax optimal rate in this task (Schmidt-Hieber, 2020; Jiao et al., 2023b; Yang and Zhou, 2024b; Nakada and Imaizumi, 2020). It is worth considering whether Transformers can also attain the minimax optimal rate in this task. To this end, a trade-off between the approximation error and generalization error of Transformers needs to be carefully balanced (Yang and Zhou, 2024a,b; Nakada and Imaizumi, 2020). The current work focuses primarily on approximation error. Unconventional activation functions such as  $\sigma_P$ ,  $\sigma_{NP}$ ,  $\sigma_{RC}$ , and the floor function exhibit strong expressive power in our construction; however, this also implies high complexity, leading to large generalization error. For this reason, we believe that the convergence rate of the Transformer proposed in this work for solving least squares regression may not achieve the minimax optimal rate. If the activation functions in the feedforward blocks of the Transformer are restricted to ReLU (i.e., the standard setting), it would be worthwhile to explore whether the minimax optimal rate can be derived.

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## Overview of Appendices

Appendix A provides a detailed comparison of our work with related works. Appendix B constructs the FNNs to implement the inner function  $\phi_K$  of KST, which forms the basis for proving Lemma 12. Appendix C presents some memorization results of feedforward neural networks, which serve as the foundation for proving Lemmas 13 and 14. Appendices D, E, and F provide the proofs for Lemmas 12, 13, and 14, respectively.

### Appendix A. Comparison of Related Work

In Table 2, we have listed our results along with a comparison to some related works.

### Appendix B. Implementation of $\phi_K$

The following lemma suggests the existence of a ReLU-feedforward neural network function  $f_{FF}^{(R-pi)}$  that can realize a piecewise function generated by  $\phi_K$  at almost all  $x \in [0, 2n - 1]$ .

**Lemma 15** *Let  $p \in [1, \infty)$ . There exists a ReLU-feedforward neural network function  $f_{FF}^{(R-pi)} : \mathbb{R} \rightarrow \mathbb{R}$  with depth  $2K$  and width  $4n$  such that for  $x \in [2q, 2q + 1] \setminus (\Omega^{(flow)} + 2q)$ ,  $q \in \{0, 1, \dots, n - 1\}$ , there holds*

$$f_{FF}^{(R-pi)}(x) = \frac{1}{3^{q-1}} \phi_K(x - 2q) + \frac{9}{2} - \frac{1}{2} \frac{1}{3^{q-2}}.$$

Here  $\Omega^{(flow)} \subset [0, 1]$  is some region with the Lebesgue measure not greater than  $2^{-K\beta p}$ .

**Proof** It is shown in Theorem 3 of Schmidt-Hieber (2021) that there exists a ReLU-feedforward neural network function  $f_{FF}^{(inner)}$  with depth  $2K$  and width 4 such that

$$f_{FF}^{(inner)}(x) = \begin{cases} 0, & x \in (-\infty, 0); \\ \phi_K(x), & x \in [0, 1] \setminus \Omega^{(flow)}; \\ 1, & x \in (1, \infty), \end{cases}$$

where  $\Omega^{(flow)} \subset [0, 1]$  is some region with the Lebesgue measure not greater than  $2^{-K\beta p}$ . Then the piecewise inner function  $f_{FF}^{(R-pi)}$  can be constructed in the following way:

$$f_{FF}^{(R-pi)}(x) := \begin{pmatrix} 3 & 1 & \cdots & \frac{1}{3^{n-2}} \end{pmatrix} \begin{pmatrix} f_{FF}^{(inner)}(x) \\ f_{FF}^{(inner)}(x - 2) \\ \vdots \\ f_{FF}^{(inner)}(x - 2(n - 1)) \end{pmatrix} = \sum_{q=0}^{n-1} \frac{1}{3^{q-1}} f_{FF}^{(inner)}(x - 2q).$$

Table 2: Comparison of existing and our results on the approximation capabilities of different Transformer structures, where  $\varepsilon \in (0, 1)$  denotes the approximation error.

	Type	Target Function <sup>1</sup>	Metric	Activations in Self-Attention Layers <sup>2</sup>	Activations in Feedforward Layers	Width <sup>3</sup>	Depth
Yun et al. (2020a)	Universality	$\mathcal{C}^0$	$L^p$	$\sigma_S$ with bias	$\sigma_R$		
Kajitsuka and Sato (2024)	Universality	$\mathcal{C}^0$	$L^p$	$\sigma_S$	$\sigma_R$		
Fang et al. (2022)	Universality	$\mathcal{C}^0$	$L^\infty$	$\sigma_H$	$\sigma_R$		
Gurevych et al. (2022)	Rate	$\mathcal{F}(\mathcal{P})$	$L^\infty$	$X \odot \sigma_H(X)$	$\sigma_R$	$\mathcal{O}(\max_{(p,K) \in \mathcal{P}} \varepsilon^{-K/p})$	$\mathcal{O}(1)$
Jiao et al. (2024)	Rate	$\mathcal{H}^\beta$ $\mathcal{C}^m$	$L^\infty$	$X \odot \sigma_H(X)$	$\sigma_R$	$\mathcal{O}(\varepsilon^{-dn/\beta})$ $\mathcal{O}(\varepsilon^{-dn/m})$	$\mathcal{O}(\log \frac{1}{\varepsilon})$ $\mathcal{O}(\log \frac{1}{\varepsilon})$
Takakura and Suzuki (2023)	Rate	$\mathcal{B}_{p,\theta}^{\gamma(\cdot;a)}$	$L^2$	$\sigma_S$	$\sigma_R$	$\mathcal{O}(\varepsilon^{-1/a^\dagger} \log \frac{1}{\varepsilon})$	$\mathcal{O}((\log \frac{1}{\varepsilon})^2)$
Havrilla and Liao (2024)	Rate	$\mathcal{H}^\beta$	$L^\infty$	$\sigma_R$	$\sigma_R$	$\mathcal{O}(\varepsilon^{-dn/\beta})$	$\mathcal{O}(\log \frac{1}{\varepsilon})$
Jiao et al. (2025)	Rate	$\mathcal{H}^\beta$	$L^\infty$	$\sigma_S$	$\sigma_R$	$\mathcal{O}(\varepsilon^{-dn/\beta})$	$\mathcal{O}(\log \frac{1}{\varepsilon})$
<b>Ours</b> (Theorem 6)	Rate	$\mathcal{H}^\beta$	$L^\infty$	$\sigma_S$	$\sigma_R, \lfloor \cdot \rfloor$	$\mathcal{O}(\varepsilon^{-\frac{2}{\beta}} \log \frac{1}{\varepsilon})$	$\mathcal{O}(\log \frac{1}{\varepsilon})$
					$\sigma_R, \lfloor \cdot \rfloor, 2^x$	$\mathcal{O}(\log \frac{1}{\varepsilon})$	$\mathcal{O}(\log \frac{1}{\varepsilon})$
					$\sigma_R, \lfloor \cdot \rfloor, \sigma_{NP}$	$\mathcal{O}(1)$	$\mathcal{O}(\log \frac{1}{\varepsilon})$
					$\sigma_R, \lfloor \cdot \rfloor, \sigma_{RC}$	$\mathcal{O}(1)$	$\mathcal{O}(\log \frac{1}{\varepsilon})$
<b>Ours</b> (Theorem 7)	Rate	$\mathcal{H}^\beta$	$L^p$	$\sigma_S$	$\sigma_R, \text{sine}, 2^x$	$\mathcal{O}(\log \frac{1}{\varepsilon})$	$\mathcal{O}(\log \frac{1}{\varepsilon})$
					$\sigma_R, \text{cosine}, 3^x$	$\mathcal{O}(\log \frac{1}{\varepsilon})$	$\mathcal{O}(\log \frac{1}{\varepsilon})$
					$\sigma_R, \sigma_P, \sigma_{NP}$	$\mathcal{O}(1)$	$\mathcal{O}(\log \frac{1}{\varepsilon})$
					$\sigma_R, \sigma_P, \sigma_{RC}$	$\mathcal{O}(1)$	$\mathcal{O}(\log \frac{1}{\varepsilon})$

1.  $\mathcal{H}^\beta$  denotes the space of  $\beta$ -Hölder continuous functions with compact support and bounded norm. The space  $\mathcal{C}^m$  consists of all functions whose first  $m$  derivatives exist and are continuous, and  $\mathcal{C}^0$  denotes the space of continuous functions.  $\mathcal{B}_{p,\theta}^{\gamma(\cdot;a)}$  refers to the Besov space with mixed and anisotropic smoothness defined in Takakura and Suzuki (2023).  $\mathcal{F}(\mathcal{P})$  denotes the hierarchical composition model with smoothness and order constraint  $\mathcal{P}$  defined in Gurevych et al. (2022). 2. We denote the softmax activation function by  $\sigma_S$ , the hardmax activation function by  $\sigma_H$ , and the ReLU activation function, which always operates component-wise, by  $\sigma_R$ . The symbol  $\odot$  represents the Hadamard product. 3. Following Kim et al. (2023), the width of the Transformer network is defined as  $\max\{hs, W\}$ .

It is easy to verify that for  $x \in [2q, 2q + 1] \setminus (\Omega^{(flow)} + 2q)$ ,  $q \in \{0, 1, \dots, n - 1\}$ , there holds

$$f_{FF}^{(R-pi)}(x) = \frac{1}{3^{q-1}} \phi_K(x - 2q) + \frac{9}{2} - \frac{1}{2} \frac{1}{3^{q-2}}.$$

■

By incorporating the floor function as an activation function in the feedforward neural network, we can extend the above result to hold for all  $x \in [0, 2n - 1]$ , and the required depth and width of the neural network function are reduced.

**Lemma 16** *There exists a floor-ReLU-feedforward neural network function  $f_{FF}^{(FR-pi)} : \mathbb{R} \rightarrow \mathbb{R}$  with depth  $K + 3$  and width  $3n$  such that for  $x \in [2q, 2q + 1]$ ,  $q \in \{0, 1, \dots, n - 1\}$ , there holds*

$$f_{FF}^{(FR-pi)}(x) = \frac{1}{3^{q-1}} \phi_K(x - 2q).$$

**Proof** The proof is divided into two steps. In the first step, we show that  $\phi_K(x)$  can be implemented by a floor-feedforward network function  $f_{FF}^{(inner)}$  (we call it as inner function) with depth  $K + 1$  and width 3 on  $[0, 1]$  without any error. The second step is to adopt  $f_{FF}^{(inner)}$  to construct the piecewise inner function  $f_{FF}^{(FR-pi)}$ .

Given  $x = [0.a_1^x a_2^x \dots]_2 \in [0, 1]$ , define  $\{T_j\}_{j=1}^K$  to be

$$\begin{aligned} T_1 &= 2x; \\ T_j &= 2 \left[ \frac{1}{2^{K-j+1}} \sigma_F(2^{K-j+1} T_{j-1}) - \sigma_F(T_{j-1}) \right], \quad j \in \{2, 3, \dots, K\}. \end{aligned} \quad (3)$$

It can be verified by the induction that, on the right-hand side in the definition of  $T_j$  for  $j \geq 2$ , the first term is actually  $T_{j-1}$  and the second term is the integer part of  $T_{j-1}$  and hence

$$T_j = [a_j^x . a_{j+1}^x \dots a_K^x]_2, \quad j \in \{2, 3, \dots, K\}.$$

We then define another sequence  $\{S_j\}_{j=1}^K$ :

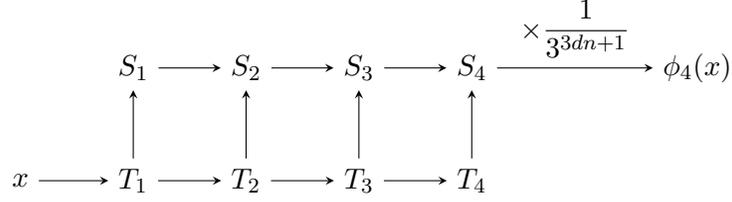
$$\begin{aligned} S_0 &= 0; \\ S_j &= 2 \cdot 3^{dn(K-j)} \sigma_F(T_j) + \sigma_F(S_{j-1}), \quad j \in \{1, 2, \dots, K\}. \end{aligned} \quad (4)$$

It is not hard to find that

$$S_j = 2 \sum_{j'=1}^j a_{j'}^x 3^{dn(K-j')}.$$

Let

$$\begin{aligned} f_0 &= x; \\ f_j &= \begin{pmatrix} \sigma_F(2^{K-j} T_j) \\ \sigma_F(T_j) \\ \sigma_F(S_{j-1}) \end{pmatrix}, \quad j \in \{1, 2, \dots, K\}. \end{aligned}$$


 Figure 3: The flowchart for computing  $\phi_K(x)$  when  $K = 4$ .

By (3)(4) we conclude that

$$\begin{aligned} f_0 &= x; \\ f_j &= \sigma_F(A_j f_{j-1}), \quad j \in \{1, 2, \dots, K\}, \end{aligned} \quad (5)$$

where

$$A_1 = \begin{pmatrix} 2^K \\ 2 \\ 0 \end{pmatrix}; \quad A_j = \begin{pmatrix} 1 & -2^{K-j+1} & 0 \\ \frac{1}{2^{K-j}} & -2 & 0 \\ 0 & 2 \cdot 3^{dn(K-j+1)} & 1 \end{pmatrix}, \quad j \in \{2, 3, \dots, K\}.$$

Define

$$f_{FF}^{(inner)} = A_{K+1} f_K \quad (6)$$

with

$$A_{K+1} = \frac{1}{3^{dn(K-1)+1}} \begin{pmatrix} 1 & & \\ & 2 & \\ & & 1 \end{pmatrix}.$$

By (5)(6) we know that  $f_{FF}^{(inner)}$  is a floor-feedforward network function with depth  $K + 1$  and width 3 and for any  $x \in [0, 1]$ ,

$$\begin{aligned} f_{FF}^{(inner)} &= \frac{1}{3^{dn(K-1)+1}} [2\sigma_F(T_K) + \sigma_F(S_{K-1})] = \frac{1}{3^{dn(K-1)+1}} \left[ 2a_K^K + 2 \sum_{j=1}^{K-1} a_j^x 3^{dn(K-j)} \right] \\ &= \frac{1}{3^{dn(K-1)+1}} 2 \sum_{j=1}^K a_j^x 3^{dn(K-j)} = \phi_K(x). \end{aligned}$$

Figure 3 illustrates the flowchart for computing  $\phi_K(x)$  when  $K = 4$ .

Now we adopt  $f_{FF}^{(inner)}$  to construct  $f_{FF}^{(FR-pi)}$ . Firstly, we use a ReLU-feedforward network to filter out  $(-\infty, 0)$  and  $(1, \infty)$ , the intervals that we are not concerned with:

$$f_{FF}^{(filter)}(x) := -\sigma_R(-\sigma_R(x) + 1) + 1 = \begin{cases} 0, & x \in (-\infty, 0); \\ x, & x \in [0, 1]; \\ 1, & x \in (1, \infty). \end{cases}$$

The composition of  $f_{FF}^{(inner)}$  and  $f_{FF}^{(filter)}$  yields

$$f_{FF}^{(inner)} \left( f_{FF}^{(filter)}(x) \right) = \begin{cases} \phi_K(x), & x \in [0, 1]; \\ 0, & x \notin [0, 1]. \end{cases}$$

We can now construct the piecewise inner function  $f_{FF}^{(FR-pi)}$  in the following way:

$$\begin{aligned} f_{FF}^{(FR-pi)}(x) &:= \left( 3 \quad 1 \quad \cdots \quad \frac{1}{3^{n-2}} \right) \begin{pmatrix} f_{FF}^{(inner)} \left( f_{FF}^{(filter)}(x) \right) \\ f_{FF}^{(inner)} \left( f_{FF}^{(filter)}(x-2) \right) \\ \vdots \\ f_{FF}^{(inner)} \left( f_{FF}^{(filter)}(x-2(n-1)) \right) \end{pmatrix} \\ &= \sum_{q=0}^{n-1} \frac{1}{3^{q-1}} f_{FF}^{(inner)} \left( f_{FF}^{(filter)}(x-2q) \right). \end{aligned}$$

It is easy to verify that for  $x \in [2q, 2q+1], q \in \{0, 1, \dots, n-1\}$ , there holds

$$f_{FF}^{(FR-pi)}(x) = \frac{1}{3^{q-1}} \phi_K(x-2q).$$

■

## Appendix C. Memorization of Feedforward Neural Networks

In this section, we present some memorization results of feedforward neural networks. These results demonstrate the remarkable memorization capacity of feedforward neural networks: a very small neural network can memorize a vast dataset. To some extent, these findings theoretically reveal the reasons behind the tremendous success of neural networks in practical applications.

The memorization issue we are referring to here can be exact memorization or approximate memorization. That is, for a given set of data pairs  $\{(x_m, y_m)\}_{m=1}^M$ , the memory capability of feedforward neural networks implies the existence of a feedforward neural network function  $f_{FF} : \mathbb{R} \rightarrow \mathbb{R}$  such that

$$f_{FF}(x_m) = y_m, \quad m \in [M],$$

where "=" can be replaced by " $\approx$ ".

We first provide some results of exact binary memorization tasks.

**Lemma 17** *Let  $M \in \mathbb{N}_{\geq 1}$ . For any  $\{\theta_m\}_{m \in [M]} \subset \{0, 1\}^M$ , there exists a feedforward neural network function  $f_{FF}^{(mmr)} : \mathbb{R} \rightarrow \mathbb{R}$  such that*

$$f_{FF}^{(mmr)}(m) = \theta_m, \quad \forall m \in [M].$$

$f_{FF}^{(mmr)}$  can be in one of the following feedforward neural network classes:

- (1)  $\mathcal{FF}(7L-2, 2 \lceil M^{1/L} \rceil + 2, \{ReLU, \text{floor}\})$ , where  $L$  can be any positive integer number;
- (2)  $\mathcal{FF}(6, 3, \{ReLU, \text{floor}, 2^x\})$ ;
- (3)  $\mathcal{FF}(4, 2, \{ReLU, \text{sine}, 2^x\})$ ;
- (4)  $\mathcal{FF}(5, 1, \{ReLU, \text{cosine}, 3^x\})$ .

**Proof** (1) See Proposition 1 in Shen et al. (2021a).

(2) See Proposition 3.2 in Shen et al. (2021b). Note that  $\sigma_3$  there is defined to be  $\mathcal{T}(x - \lfloor x \rfloor - \frac{1}{2})$  with  $\mathcal{T}(x)$  defined as  $\mathbb{1}_{x \geq 0}$ . Note that

$$\begin{aligned} \mathbb{1}_{x \geq 0} &= \sigma_F(-\sigma_R(-\sigma_R(x+1)+1)+1), \\ x - \lfloor x \rfloor - \frac{1}{2} &= \sigma_R(x) + \sigma_R(-x) - \sigma_F(x) - \frac{1}{2}, \end{aligned}$$

therefore  $\sigma_3$  in Proposition 3.2 in Shen et al. (2021b) can be implemented by a ReLU-floor feedforward network function with depth 5 and width 3.

(3) See Lemma 3.1 in Jiao et al. (2023a).

(4) See Proposition 4.2 in Shen et al. (2021b). Note that  $\rho_3$  there is defined to be  $\tilde{\mathcal{T}}(\cos(2\pi x))$  with  $\tilde{\mathcal{T}}(x)$  defined as a piecewise linear function:

$$\tilde{\mathcal{T}}(x) = \begin{cases} 0, & x \in (\cos(\frac{4\pi}{9}), \infty); \\ 1 - x/\cos(\frac{4\pi}{9}), & x \in [0, \cos(\frac{4\pi}{9})]; \\ 1, & x \in (-\infty, 0). \end{cases}$$

This piecewise linear function can be further reproduced by a ReLU feedforward network function with depth 3 and width 1:

$$\tilde{\mathcal{T}}(x) = -\sigma_R \left( -\sigma_R \left( -\frac{x}{\cos(\frac{4\pi}{9})} + 1 \right) + 1 \right) + 1.$$

■

Next, we introduce some results of memorization tasks where the labels can take on arbitrary values. These results make use of a well-known fact that an irrational winding on the torus is dense. Before we state this fact, we need to know the concept of rationally independent.

**Definition 18** For any  $\{a_m\}_{m \in [M]} \subset \mathbb{R}$ , they are called *rationally independent* if they are linearly independent over the rational numbers  $\mathbb{Q}$ . That is, if there exist  $\lambda_1, \lambda_2, \dots, \lambda_M \in \mathbb{Q}$  such that  $\sum_{m=1}^M \lambda_m a_m = 0$ , then  $\lambda_1 = \lambda_2 = \dots = \lambda_M = 0$ .

The following two lemmas provide two methods for generating rationally independent numbers.

**Lemma 19 (Yarotsky (2021), Lemma 1)** Let  $M \in \mathbb{N}_{\geq 1}$ . There exists  $w^{(1)}, w^{(0)} \in \mathbb{R}$  such that  $\{\sigma_{NP}(w^{(1)}m + w^{(0)})\}_{m \in [M]}$  are rationally independent.

**Lemma 20 (Zhang et al. (2022), Lemma 18)** Let  $M \in \mathbb{N}_{\geq 1}$ .  $\{\sigma_{RC}(m)\}_{m \in [M]}$  are rationally independent.

**Remark 21** Lemma 20 is a simplified version of Lemma 18 in Zhang et al. (2022), as the latter allows for replacing the sequence of positive integers  $\{m\}_{m \in [M]}$  with any sequence of distinct rational numbers  $\{r_m\}_{m \in [M]}$ .

The following proposition describes that an irrational winding on the torus is dense. For a proof, the readers are referred to Lemma 2 in Yarotsky (2021) and Lemma 19 in Zhang et al. (2022).

**Proposition 22** *Let  $M \in \mathbb{N}_{\geq 1}$ . Given any rationally independent numbers  $\{a_m\}_{m \in [M]}$  and an arbitrary periodic function  $\sigma_P : \mathbb{R} \rightarrow \mathbb{R}$  with period  $T$ , i.e.,  $\sigma_P(x + T) = \sigma_P(x)$  for any  $x \in \mathbb{R}$ , assume there exist  $x_1, x_2 \in \mathbb{R}$  with  $0 < x_2 - x_1 < T$  such that  $\sigma_P$  is continuous on  $[x_1, x_2]$ . Then the following set*

$$\left\{ (\sigma_P(wa_1), \sigma_P(wa_2), \dots, \sigma_P(wa_M))^T : w \in \mathbb{R} \right\}$$

*is dense in  $[\sigma_P^{(\min)}, \sigma_P^{(\max)}]^M$ , where  $\sigma_P^{(\min)} := \min_{x \in [x_1, x_2]} \sigma_P(x)$  and  $\sigma_P^{(\max)} := \max_{x \in [x_1, x_2]} \sigma_P(x)$ .*

*In the case of  $\sigma_P^{(\min)} < \sigma_P^{(\max)}$ , the following set*

$$\left\{ (u \cdot \sigma_P(wa_1) + v, u \cdot \sigma_P(wa_2) + v, \dots, u \cdot \sigma_P(wa_M) + v)^T : u, v, w \in \mathbb{R} \right\}$$

*is dense in  $\mathbb{R}^M$ .*

With these tools, we are able to construct feedforward neural networks that memorize the sequence of positive integers  $\{m\}_{m \in [M]}$  to given real-valued labels. We can see that the networks in the following lemmas are fixed size and they can achieve any accuracy when memorizing integers.

**Lemma 23** *Let  $M \in \mathbb{N}_{\geq 1}$ . For any  $\epsilon > 0$  and any  $\xi \in \mathbb{R}^M$ , there exist  $w_r^{(0)}, w_r^{(1)}, w_r^{(2)}, w_r^{(3)}, w_r^{(4)} \in \mathbb{R}$  such that for  $m \in [M]$ , there holds*

$$\left| w_r^{(3)} \sigma_P \left( w_r^{(2)} \sigma_{NP} \left( w_r^{(0)} + w_r^{(1)} m \right) \right) + w_r^{(4)} - \xi_m \right| \leq \epsilon.$$

**Proof** A direct result from Lemma 19 and Proposition 22. ■

**Lemma 24** *Let  $M \in \mathbb{N}_{\geq 1}$ . For any  $\epsilon > 0$  and any  $\xi \in \mathbb{R}^M$ , there exist  $w^{(0)}, w^{(1)}, w^{(2)} \in \mathbb{R}$  such that for  $m \in [M]$ , there holds*

$$\left| w_r^{(1)} \sigma_P \left( w_r^{(0)} \sigma_{RC}(m) \right) + w_r^{(2)} - \xi_m \right| \leq \epsilon.$$

**Proof** A direct result from Lemma 20 and Proposition 22. ■

## Appendix D. Proof of Lemma 12

We only present a proof for the ReLU case. The proof for the floor-ReLU case only differs subtly and we will point out these differences in the proof.

Let  $f_{FF}^{(R-pi)}$  be the ReLU-feedforward network function in Lemma 15 (For the floor-ReLU case, we make use of Lemma 16). Define the multi-dimensional piecewise inner function  $\mathbf{f}_{FF}^{(mpi)} : \mathbb{R}^d \rightarrow \mathbb{R}^{2d}$  by

$$\begin{aligned} \mathbf{f}_{FF}^{(mpi)}(\mathbf{x}) &:= \begin{pmatrix} \frac{1}{3} \mathbf{1}_{d \times 1} & \frac{1}{3^{n+1}} \mathbf{1}_{d \times 1} & \cdots & \frac{1}{3^{(d-1)n+1}} \mathbf{1}_{d \times 1} \\ \mathbf{0}_{d \times 1} & \mathbf{0}_{d \times 1} & \cdots & \mathbf{0}_{d \times 1} \end{pmatrix} \begin{pmatrix} f_{FF}^{(R-pi)}(x_1) \\ f_{FF}^{(R-pi)}(x_2) \\ \vdots \\ f_{FF}^{(R-pi)}(x_d) \end{pmatrix} \\ &= \begin{pmatrix} \left[ \sum_{p=1}^d \frac{1}{3^{(p-1)n+1}} f_{FF}^{(R-pi)}(x_p) \right] \mathbf{1}_{d \times 1} \\ \mathbf{0}_{d \times 1} \end{pmatrix}. \end{aligned}$$

By Lemma 15, it follows that for  $\mathbf{x} \in \{[2q, 2q+1] \setminus (\Omega^{(flow)} + 2q)\}^d, q \in \{0, 1, \dots, n-1\}$ , there holds

$$\mathbf{f}_{FF}^{(mpi)}(\mathbf{x}) = \begin{pmatrix} \left[ \frac{1}{3^{q-1}} \sum_{p=1}^d \frac{1}{3^{(p-1)n+1}} \phi_K(x_p - 2q) + \frac{(3^q-1)(3^{dn}-1)}{2 \cdot 3^{q-1}(3^{dn}-3^{(d-1)n})} \right] \mathbf{1}_{d \times 1} \\ \mathbf{0}_{d \times 1} \end{pmatrix}.$$

Let

$$\mathbf{b}_0 := (0 \cdot \mathbf{1}_{d \times 1} \quad 2 \cdot \mathbf{1}_{d \times 1} \quad \cdots \quad 2(n-1) \cdot \mathbf{1}_{d \times 1}) \in \mathbb{R}^{d \times n}.$$

Define  $\mathbf{Z}_1 := \mathcal{F}_{FF}^{(mpi)}(\mathbf{X} + \mathbf{b}_0) \in \mathbb{R}^{2d \times n}$ . For  $\mathbf{X}_{:,s} \in \{[0, 1] \setminus \Omega^{(flow)}\}^d, \mathbf{X}_{:,s} + (\mathbf{b}_0)_{:,s} \in \{[2q, 2q+1] \setminus \Omega^{(flow)}\}^d$ . Therefore it follows that

$$\mathbf{Z}_1 = \begin{pmatrix} \left[ 3 \sum_{p=1}^d \frac{1}{3^{(p-1)n+1}} \phi_K(x_{p1} - 2q) + \frac{(3^0-1)(3^{dn}-1)}{2 \cdot 3^{-1}(3^{dn}-3^{(d-1)n})} \right] \mathbf{1}_{1 \times d} & \mathbf{0}_{1 \times d} \\ \left[ 3 \sum_{p=1}^d \frac{1}{3^{(p-1)n+2}} \phi_K(x_{p2} - 2q) + \frac{(3^1-1)(3^{dn}-1)}{2 \cdot 3^{0-1}(3^{dn}-3^{(d-1)n})} \right] \mathbf{1}_{1 \times d} & \mathbf{0}_{1 \times d} \\ \vdots & \vdots \\ \left[ 3 \sum_{p=1}^d \frac{1}{3^{(p-1)n+n}} \phi_K(x_{pn} - 2q) + \frac{(3^{n-1}-1)(3^{dn}-1)}{2 \cdot 3^{n-2}(3^{dn}-3^{(d-1)n})} \right] \mathbf{1}_{1 \times d} & \mathbf{0}_{1 \times d} \end{pmatrix}^T.$$

Let

$$\mathbf{b}_1 := \begin{pmatrix} \frac{-(3^0-1)(3^{dn}-1)}{2 \cdot 3^{-1}(3^{dn}-3^{(d-1)n})} \mathbf{1}_{d \times 1} & \frac{-(3^1-1)(3^{dn}-1)}{2 \cdot 3^{0-1}(3^{dn}-3^{(d-1)n})} \mathbf{1}_{d \times 1} & \cdots & \frac{-(3^{n-1}-1)(3^{dn}-1)}{2 \cdot 3^{n-2}(3^{dn}-3^{(d-1)n})} \mathbf{1}_{d \times 1} \\ \mathbf{0}_{d \times 1} & \mathbf{0}_{d \times 1} & \cdots & \mathbf{0}_{d \times 1} \end{pmatrix} \in \mathbb{R}^{2d \times n}.$$

For the floor-ReLU case, we simply set  $\mathbf{b}_1 = \mathbf{0}_{2d \times n}$ . We then have

$$\mathbf{Z}_2 := \mathbf{Z}_1 + \mathbf{b}_1 = \begin{pmatrix} 3 \sum_{p=1}^d \frac{1}{3^{(p-1)n+1}} \phi_K(x_{p1}) \mathbf{1}_{d \times 1} & 3 \sum_{p=1}^d \frac{1}{3^{(p-1)n+2}} \phi_K(x_{p2}) \mathbf{1}_{d \times 1} & \cdots & 3 \sum_{p=1}^d \frac{1}{3^{(p-1)n+n}} \phi_K(x_{pn}) \mathbf{1}_{d \times 1} \\ \mathbf{0}_{d \times 1} & \mathbf{0}_{d \times 1} & \cdots & \mathbf{0}_{d \times 1} \end{pmatrix} \in \mathbb{R}^{2d \times n}.$$

Next we define the matrices in the self-attention layer:

$$\mathbf{W}_O := \begin{pmatrix} \mathbf{0}_{d \times d} & \mathbf{0}_{d \times d} \\ \mathbf{I}_{d \times d} & \mathbf{0}_{d \times d} \end{pmatrix}, \quad \mathbf{W}_V := \mathbf{I}_{2d \times 2d}, \quad \mathbf{W}_K := \mathbf{0}_{2d \times 2d}, \quad \mathbf{W}_Q := \mathbf{0}_{2d \times 2d}.$$

Then

$$\mathbf{Z}_3 := \mathcal{F}_{SA}(\mathbf{Z}_2) = \mathbf{Z}_2 + \mathbf{W}_O \mathbf{W}_V \mathbf{Z}_2 \sigma_S((\mathbf{W}_V \mathbf{Z}_2)^T (\mathbf{W}_K \mathbf{Z}_2)) = \begin{pmatrix} 3 \sum_{p=1}^d \frac{1}{3^{(p-1)n+1}} \phi_K(x_{p1}) \mathbf{1}_{d \times 1} & 3 \sum_{p=1}^d \frac{1}{3^{(p-1)n+2}} \phi_K(x_{p2}) \mathbf{1}_{d \times 1} & \cdots & 3 \sum_{p=1}^d \frac{1}{3^{(p-1)n+n}} \phi_K(x_{pn}) \mathbf{1}_{d \times 1} \\ 3 \sum_{q=1}^n \sum_{p=1}^d \frac{1}{3^{(p-1)n+q}} \phi_K(x_{pq}) \mathbf{1}_{d \times 1} & 3 \sum_{q=1}^n \sum_{p=1}^d \frac{1}{3^{(p-1)n+q}} \phi_K(x_{pq}) \mathbf{1}_{d \times 1} & \cdots & 3 \sum_{q=1}^n \sum_{p=1}^d \frac{1}{3^{(p-1)n+q}} \phi_K(x_{pq}) \mathbf{1}_{d \times 1} \end{pmatrix}.$$

Define the scaling matrix

$$\mathbf{W}^{(scl)} := (\mathbf{0}_{d \times d} \quad 3^{Kdn} \mathbf{I}_{d \times d}) \in \mathbb{R}^{d \times 2d}$$

and the segmentation matrix

$$\mathbf{b}^{(sgt)} := (\mathbf{1}_{d \times 1} \quad (1 + 3^{Kdn}) \mathbf{1}_{d \times 1} \quad \cdots \quad (1 + (n-1) \cdot 3^{Kdn}) \mathbf{1}_{d \times 1}) \in \mathbb{R}^{d \times n}.$$

Then  $\mathbf{W}^{(scl)} \mathbf{Z}_3 + \mathbf{b}^{(sgt)}$  turns out to be the inner matrix  $\mathbf{Z}$ .

## Appendix E. Proof of Lemma 13

Before we perform a binary expansion of  $g_{rs}$ , we need to normalize it so that its range lies in  $[0, 1]$ . The normalization procedure is as follows:

$$g_{rs}^{(nml)}(x) := \frac{g_{rs}(x) - g_{\min}}{g_{\max} - g_{\min}}, \quad r \in [d], s \in [n].$$

Let

$$\Lambda := \left\{ 3^{Kdn+1} \sum_{q=1}^n \sum_{p=1}^d \frac{1}{3^{(p-1)n+q}} \phi_K(x_{pq}) + 1 + (s-1) \cdot 3^{Kdn} : \mathbf{x} \in [0, 1]^{d \times n}, s \in [n] \right\}.$$

Now, given  $r \in [n]$ , for each

$$m = 3^{Kdn+1} \sum_{q=1}^n \sum_{p=1}^d \frac{1}{3^{(p-1)n+q}} \phi_K(x_{pq}) + 1 + (s-1) \cdot 3^{Kdn} \in \Lambda,$$

we perform a binary expansion of  $g_{rs}^{(nml)}$  at the point  $\frac{m-1-(s-1) \cdot 3^{Kdn}}{3^{Kdn}}$ :

$$g_{rs}^{(nml)} \left( \frac{m-1-(s-1) \cdot 3^{Kdn}}{3^{Kdn}} \right) = \sum_{i=1}^{\infty} \theta_{rsi}^{(m)} 2^{-i},$$

where  $\theta_{rsi}^{(m)} \in \{0, 1\}$ . For each  $r \in [d]$  and  $i \in [H]$ , by Lemma 17, there exists a meomerization neural network function  $f_{FF,ri}^{(mmr)}(x)$  such that

$$f_{FF,ri}^{(mmr)}(m) = \begin{cases} \theta_{rsi}^{(m)}, & m \in \Lambda; \\ 0, & \text{else.} \end{cases}$$

Here the number of points to be memorized is  $M = n \cdot 3^{Kdn}$ .

Arrange  $\{f_{FF,ri}^{(mmr)}\}_{r \in [d], i \in [H]}$  in parallel to form a function from  $\mathbb{R}^d$  to  $\mathbb{R}^{dH}$ :

$$\mathbf{f}_{FF}^{(mmr-prl)}(\mathbf{x}) := \left( f_{FF,11}^{(mmr)}(x_1) \cdots f_{FF,1H}^{(mmr)}(x_1) \quad f_{NN,21}^{(mmr)}(x_2) \cdots f_{FF,2H}^{(mmr)}(x_2) \cdots f_{FF,d1}^{(mmr)}(x_d) \cdots f_{FF,dH}^{(mmr)}(x_d) \right)^T.$$

The feedforward neural network function  $\mathbf{f}_{FF}^{(pre-mmrr)}$  that implements the approximate binary expansion is then defined by

$$\mathbf{f}_{FF}^{(pre-mmrr)}(\mathbf{x}) := \mathbf{W}^{(coe)} \mathbf{f}_{FF}^{(mmr-prl)}(\mathbf{x}) = \sum_{i=1}^H 2^{-i} \begin{pmatrix} f_{FF,1i}^{(mmr)}(x_1) \\ f_{FF,2i}^{(mmr)}(x_2) \\ \vdots \\ f_{FF,di}^{(mmr)}(x_d) \end{pmatrix},$$

where the binary expansion coefficient matrix

$$\mathbf{W}^{(coe)} := \begin{pmatrix} \mathbf{w} & & & \\ & \mathbf{w} & & \\ & & \ddots & \\ & & & \mathbf{w} \end{pmatrix} \in \mathbb{R}^{d \times dH}$$

with

$$\mathbf{w} = \left( \frac{1}{2} \quad \frac{1}{4} \quad \cdots \quad \frac{1}{2^H} \right) \in \mathbb{R}^{1 \times H}.$$

The feedforward function  $\mathbf{f}_{FF}^{(mmr)}(\mathbf{x})$  that we are looking for is now defined by

$$\mathbf{f}_{FF}^{(mmr)}(\mathbf{x}) := \mathbf{W}^{(nml)} \mathbf{f}_{FF}^{(pre-mm)}(\mathbf{x}) + \mathbf{b}^{(nml)}$$

where

$$\mathbf{W}^{(nml)} := (g_{\max} - g_{\min}) \mathbf{I}_{d \times d} \in \mathbb{R}^{d \times d}, \quad \mathbf{b}^{(nml)} := g_{\min} \mathbf{1}_{d \times n} \in \mathbb{R}^{d \times n}.$$

It follows that

$$\begin{aligned} \tilde{\mathbf{f}}_{:,s} &= \mathbf{W}^{(nml)} \mathbf{f}_{FF}^{(pre-mm)}(\mathbf{Z}_{:,s}) + \mathbf{b}_{:,s}^{(nml)} \\ &= \begin{pmatrix} (g_{\max} - g_{\min}) \sum_{i=1}^H 2^{-i} f_{FF,1i}^{(mmr)}(Z_{1s}) + g_{\min} \\ (g_{\max} - g_{\min}) \sum_{i=1}^H 2^{-i} f_{FF,2i}^{(mmr)}(Z_{2s}) + g_{\min} \\ \vdots \\ (g_{\max} - g_{\min}) \sum_{i=1}^H 2^{-i} f_{FF,di}^{(mmr)}(Z_{ds}) + g_{\min} \end{pmatrix} \\ &= \begin{pmatrix} (g_{\max} - g_{\min}) \sum_{i=1}^H 2^{-i} \theta_{1si}^{(Z_{1s})} + g_{\min} \\ (g_{\max} - g_{\min}) \sum_{i=1}^H 2^{-i} \theta_{2si}^{(Z_{2s})} + g_{\min} \\ \vdots \\ (g_{\max} - g_{\min}) \sum_{i=1}^H 2^{-i} \theta_{dsi}^{(Z_{ds})} + g_{\min} \end{pmatrix}. \end{aligned}$$

Recall that

$$f_{rs}(\mathbf{X}) = g_{rs} \left( 3 \sum_{q=1}^n \sum_{p=1}^d \frac{1}{3^{(p-1)n+q}} \phi(x_{pq}) \right)$$

and make use of the following equality:

$$\begin{aligned} &g_{rs} \left( 3 \sum_{q=1}^n \sum_{p=1}^d \frac{1}{3^{(p-1)n+q}} \phi_K(x_{pq}) \right) \\ &= (g_{\max} - g_{\min}) g_{rs}^{(nml)} \left( 3 \sum_{q=1}^n \sum_{p=1}^d \frac{1}{3^{(p-1)n+q}} \phi_K(x_{pq}) \right) + g_{\min} \\ &= (g_{\max} - g_{\min}) g_{rs}^{(nml)} \left( \frac{Z_{rs} - 1 - (s-1) \cdot 3^{Kdn}}{3^{Kdn}} \right) + g_{\min} \\ &= (g_{\max} - g_{\min}) \sum_{i=1}^{\infty} 2^{-i} \theta_{rsi}^{(Z_{rs})} + g_{\min}, \end{aligned}$$

we can now derive that

$$\begin{aligned} &|\tilde{f}_{rs}(\mathbf{X}) - f_{rs}(\mathbf{X})| \\ &= \left| (g_{\max} - g_{\min}) \sum_{i=1}^H 2^{-i} \theta_{rsi}^{(Z_{rs})} + g_{\min} - \left( (g_{\max} - g_{\min}) \sum_{i=1}^{\infty} 2^{-i} \theta_{rsi}^{(Z_{rs})} + g_{\min} \right) \right| \end{aligned}$$

$$\begin{aligned}
 & \left| g_{rs} \left( 3 \sum_{q=1}^n \sum_{p=1}^d \frac{1}{3^{(p-1)n+q}} \phi_K(x_{pq}) \right) - g_{rs} \left( 3 \sum_{q=1}^n \sum_{p=1}^d \frac{1}{3^{(p-1)n+q}} \phi(x_{pq}) \right) \right| \\
 & \leq (g_{\max} - g_{\min}) \left| \sum_{i=1}^H 2^{-i} \theta_{rsi}^{(Z_{rs})} - \sum_{i=1}^{\infty} 2^{-i} \theta_{rsi}^{(Z_{rs})} \right| \\
 & \quad + \left| g_{rs} \left( 3 \sum_{q=1}^n \sum_{p=1}^d \frac{1}{3^{(p-1)n+q}} \phi_K(x_{pq}) \right) - g_{rs} \left( 3 \sum_{q=1}^n \sum_{p=1}^d \frac{1}{3^{(p-1)n+q}} \phi(x_{pq}) \right) \right| \\
 & \leq (g_{\max} - g_{\min}) \sum_{i=H+1}^{\infty} 2^{-i} \theta_{rsi}^{(Z_{rs})} + 2^\beta Q \left( 3 \sum_{q=1}^n \sum_{p=1}^d \frac{1}{3^{(p-1)n+q}} |\phi_K(x_{pq}) - \phi(x_{pq})| \right)^{\log_3 2 \cdot \frac{\beta}{dn}} \\
 & \leq \frac{g_{\max} - g_{\min}}{2^H} + \frac{2^\beta Q}{2^{(K+2)\beta}},
 \end{aligned}$$

where in the third step we use the fact  $g_{rs} \in \mathcal{H}_{2^\beta Q}^{\frac{\beta \log 2}{dn \log 3}}(\mathcal{C})$  from Proposition 4 and in the fourth step we use the following estimate:

$$|\phi_K(x) - \phi(x)| = 2 \sum_{j=K+1}^{\infty} a_j^x 3^{-dn(j-1)-1} \leq \frac{2}{3^{2dn+1} - 3^{dn+1}} \frac{1}{3^{dnK}}.$$

## Appendix F. Proof of Lemma 14

We only present a proof of (1). The proof of (2) is exactly the same. Let

$$\Lambda := \left\{ 3^{Kdn+1} \sum_{q=1}^n \sum_{p=1}^d \frac{1}{3^{(p-1)n+q}} \phi_K(x_{pq}) + 1 + (s-1) \cdot 3^{Kdn} : \mathbf{x} \in [0, 1]^{d \times n}, s \in [n] \right\}.$$

Given  $r \in [d]$ , by Lemma 23 (for the case of (2), we apply Lemma 24), there exist  $w_r^{(0)}, w_r^{(1)}, w_r^{(2)}, w_r^{(3)}, w_r^{(4)}$  such that for each

$$m = 3^{Kdn+1} \sum_{q=1}^n \sum_{p=1}^d \frac{1}{3^{(p-1)n+q}} \phi_K(x_{pq}) + 1 + (s-1) \cdot 3^{Kdn} \in \Lambda,$$

there holds

$$\left| w_r^{(3)} \sigma_P \left( w_r^{(2)} \sigma_{NP} \left( w_r^{(0)} + w_r^{(1)} m \right) \right) + w_r^{(4)} - g_{rs} \left( \frac{m-1 - (s-1) \cdot 3^{Kdn}}{3^{Kdn}} \right) \right| \leq \delta.$$

Define the memorization function

$$f_{FF,r}^{(mmr)}(x) := w_r^{(3)} \sigma_P \left( w_r^{(2)} \sigma_{NP} \left( w_r^{(0)} + w_r^{(1)} x \right) \right) + w_r^{(4)}, \quad r \in [d],$$

then we have

$$\left| f_{FF,r}^{(mmr)}(m) - g_{rs} \left( \frac{m-1 - (s-1) \cdot 3^{Kdn}}{3^{Kdn}} \right) \right| \leq \delta.$$

$\mathbf{f}_{FF}^{(mmr)}(\mathbf{x})$  is formed by arranging  $\{f_{FF,r}^{(mmr)}\}_{r \in [d]}$  in parallel:

$$\mathbf{f}_{FF}^{(mmr)}(\mathbf{x}) := \left( f_{FF,1}^{(mmr)}(x_1) \quad f_{FF,2}^{(mmr)}(x_2) \quad \cdots \quad f_{FF,d}^{(mmr)}(x_d) \right)^T.$$

It follows that

$$\tilde{\mathbf{f}}_{:,s} = \mathbf{f}_{FF}^{(mmr)}(\mathbf{Z}_{:,s}) = \begin{pmatrix} f_{FF,1}^{(mmr)}(Z_{1s}) \\ f_{FF,2}^{(mmr)}(Z_{2s}) \\ \vdots \\ f_{FF,d}^{(mmr)}(Z_{ds}) \end{pmatrix} = \begin{pmatrix} w_1^{(3)} \sigma_P \left( w_1^{(2)} \sigma_{NP} \left( w_1^{(0)} + w_1^{(1)} Z_{1s} \right) \right) + w_1^{(4)} \\ w_2^{(3)} \sigma_P \left( w_2^{(2)} \sigma_{NP} \left( w_2^{(0)} + w_2^{(1)} Z_{1s} \right) \right) + w_2^{(4)} \\ \vdots \\ w_d^{(3)} \sigma_P \left( w_d^{(2)} \sigma_{NP} \left( w_d^{(0)} + w_d^{(1)} Z_{1s} \right) \right) + w_d^{(4)} \end{pmatrix}.$$

Recall that

$$f_{rs}(\mathbf{X}) = g_{rs} \left( 3 \sum_{q=1}^n \sum_{p=1}^d \frac{1}{3^{(p-1)n+q}} \phi(x_{pq}) \right)$$

and make use of the following equality:

$$g_{rs} \left( 3 \sum_{q=1}^n \sum_{p=1}^d \frac{1}{3^{(p-1)n+q}} \phi_K(x_{pq}) \right) = g_{rs} \left( \frac{Z_{rs} - 1 - (s-1) \cdot 3^{Kdn}}{3^{Kdn}} \right),$$

we can now derive that

$$\begin{aligned} & |\tilde{f}_{rs}(\mathbf{X}) - f_{rs}(\mathbf{X})| \\ &= \left| w_r^{(3)} \sigma_P \left( w_r^{(2)} \sigma_{NP} \left( w_r^{(0)} + w_r^{(1)} Z_{rs} \right) \right) + w_r^{(4)} - g_{rs} \left( \frac{Z_{rs} - 1 - (s-1) \cdot 3^{Kdn}}{3^{Kdn}} \right) \right. \\ &\quad \left. + g_{rs} \left( 3 \sum_{q=1}^n \sum_{p=1}^d \frac{1}{3^{(p-1)n+q}} \phi_K(x_{pq}) \right) - g_{rs} \left( 3 \sum_{q=1}^n \sum_{p=1}^d \frac{1}{3^{(p-1)n+q}} \phi(x_{pq}) \right) \right| \\ &\leq \left| w_r^{(3)} \sigma_P \left( w_r^{(2)} \sigma_{NP} \left( w_r^{(0)} + w_r^{(1)} Z_{1s} \right) \right) + w_r^{(4)} - g_{rs} \left( \frac{Z_{rs} - 1 - (s-1) \cdot 3^{Kdn}}{3^{Kdn}} \right) \right| \\ &\quad + \left| g_{rs} \left( 3 \sum_{q=1}^n \sum_{p=1}^d \frac{1}{3^{(p-1)n+q}} \phi_K(x_{pq}) \right) - g_{rs} \left( 3 \sum_{q=1}^n \sum_{p=1}^d \frac{1}{3^{(p-1)n+q}} \phi(x_{pq}) \right) \right| \\ &\leq \delta + 2^\beta Q \left( 3 \sum_{q=1}^n \sum_{p=1}^d \frac{1}{3^{(p-1)n+q}} |\phi_K(x_{pq}) - \phi(x_{pq})| \right)^{\log_3 2 \cdot \frac{\beta}{dn}} \\ &\leq \delta + \frac{2^\beta Q}{2^{(K+2)\beta}}, \end{aligned}$$

where in the third step we use the fact  $g_{rs} \in \mathcal{H}_{2^\beta Q}^{\frac{\beta \log 2}{dn \log 3}}(\mathcal{C})$  from Proposition 4 and in the fourth step we use the following estimate:

$$|\phi_K(x) - \phi(x)| = 2 \sum_{j=K+1}^{\infty} a_j^x 3^{-dn(j-1)-1} \leq \frac{2}{3^{2dn+1} - 3^{dn+1}} \frac{1}{3^{dnK}}.$$

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